

# Bootstrapped Newtonian compact objects

*XII Bolyai–Gauss–Lobachevsky Conference (BGL-2024):  
Non-Euclidean Geometry in Modern Physics and Mathematics*

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# Plan of the talk

- The Lagrangian and the equation of motion
- Outer vacuum and boundary conditions
- Stars and black holes with uniform density
- Polytropic stars
- Masses of bootstrapped Newtonian objects
- Binary mergers, mass gap and area law
- Stability of bootstrapped Newtonian dense stars
- Conclusions

# Bootstrapped Newtonian gravity

- Motivation:
  - Gravity is tested in the weak-field regime, many orders of magnitude below where it becomes dominant, regime in which results are in very good agreement with general relativity;
  - Perturbative approaches fail in strong gravitational fields (reason being that all terms in the series contribute roughly the same and the series cannot be truncated);
  - Singularity theorems of general relativity require black holes to collapse all the way into a region of vanishing volume and infinite density;
  - There are some corpuscular proposals for black hole interiors which would solve the problem of the singularities.
- Bootstrapped Newtonian gravity
  - Bottom-up approach;
  - It allows us a fresh new look into (extremely) dense self-gravitating stars;
  - It allows for highly compact objects with regular densities due to the absence of a Buchdahl limit.

# Bootstrapped Newtonian Lagrangian [Phys.Rev.D 98 (2018) 10, Eur.Phys.J.C 79 (2019) 11]

- The bootstrapped Newtonian Lagrangian

$$L[V] = L_N[V] - 4\pi \int_0^\infty r^2 dr [q_V \mathcal{J}_V V + q_p \mathcal{J}_p V + q_\rho \mathcal{J}_\rho (\rho + q_p \mathcal{J}_p)]$$

$$= -4\pi \int_0^\infty r^2 dr \left[ \frac{(V')^2}{8\pi G_N} (1 - 4q_V V) + (\rho + 3q_p p)V(1 - 2q_\rho V) \right]$$

Newtonian part:

$$L_N[V] = -4\pi \int_0^\infty r^2 dr \left[ \frac{(V')^2}{8\pi G_N} + \rho V \right]$$

$$r^{-2} (r^2 V')' \equiv \Delta V = 4\pi G_N \rho$$

gravitational self-coupling :

$$\mathcal{J}_V \simeq \frac{dU_N}{dV} = -\frac{[V'(r)]^2}{2\pi G_N}$$

(non-negligible) pressure contribution:

$$\mathcal{J}_p \simeq -\frac{dU_p}{dV} = 3p$$

higher order term:  $\mathcal{J}_\rho = -2V^2$

- Euler-Lagrange equation:

$$\Delta V = 4\pi G_N (\rho + 3q_p p) \frac{1 - 4q_\rho V}{1 - 4q_V V} + \frac{2q_V (V')^2}{1 - 4q_V V}$$

# Outer Vacuum Solutions and Boundary Conditions [Phys.Rev.D 98 (2018) 10, Eur.Phys.J.C 79 (2019) 11]

- Outside the source:  $\rho = 0, p = 0$

and, after solving the EOM, the potential in vacuum becomes:

$$V_{\text{out}} = \frac{1}{4q_V} \left[ 1 - \left( 1 + \frac{6q_V G_N M}{r} \right)^{2/3} \right]$$

$$V_{\text{out}} \underset{r \rightarrow \infty}{\simeq} -\frac{G_N M}{r} + q_V \frac{G_N^2 M^2}{r^2} - q_V^2 \frac{8 G_N^3 M^3}{3 r^3}$$

- Boundary conditions:

$$V_{\text{in}}(R) = V_{\text{out}}(R) \equiv V_R = \frac{1}{4q_V} \left[ 1 - (1 + 6q_V \mathcal{X})^{2/3} \right]$$

$$V'_{\text{in}}(R) = V'_{\text{out}}(R) \equiv V'_R = \frac{\mathcal{X}}{R(1 + 6q_V \mathcal{X})^{1/3}}$$

$$V'_{\text{in}}(0) = 0$$

$$\mathcal{X} \equiv \frac{G_N M}{R}$$

represents the compactness.

# Bootstrapped Newtonian stars and black holes [Phys.Rev.D 98 (2018) 10, Eur.Phys.J.C 79 (2019) 11]

- Stars and black holes of uniform density:

$$\rho = \rho_0 \equiv \frac{3 M_0}{4 \pi R^3} \Theta(R - r)$$

with the (Newtonian) proper mass in general given by:

$$M_0 = 4 \pi \int_0^R r^2 \rho(r) dr$$

and the additional constraint given by the conservation equation

$$p' = -V' (\rho + q_p p)$$

- Set the couplings to some numerical values to simplify the equations.
- The complexity of the problem requires one to find solutions separately in two regimes:
  - Small and intermediate compactness (stars)
  - Large compactness (black holes)

# Small and intermediate compactness [Phys.Rev.D 98 (2018) 10, Eur.Phys.J.C 79 (2019) 11]

- An approximate solution:

$$V_s = V_0 + \frac{G_N M_0}{2 R^3} e^{V_R - V_0} r^2$$

(series expansion of the potential around  $r=0$ )

- Odd powers vanish because:

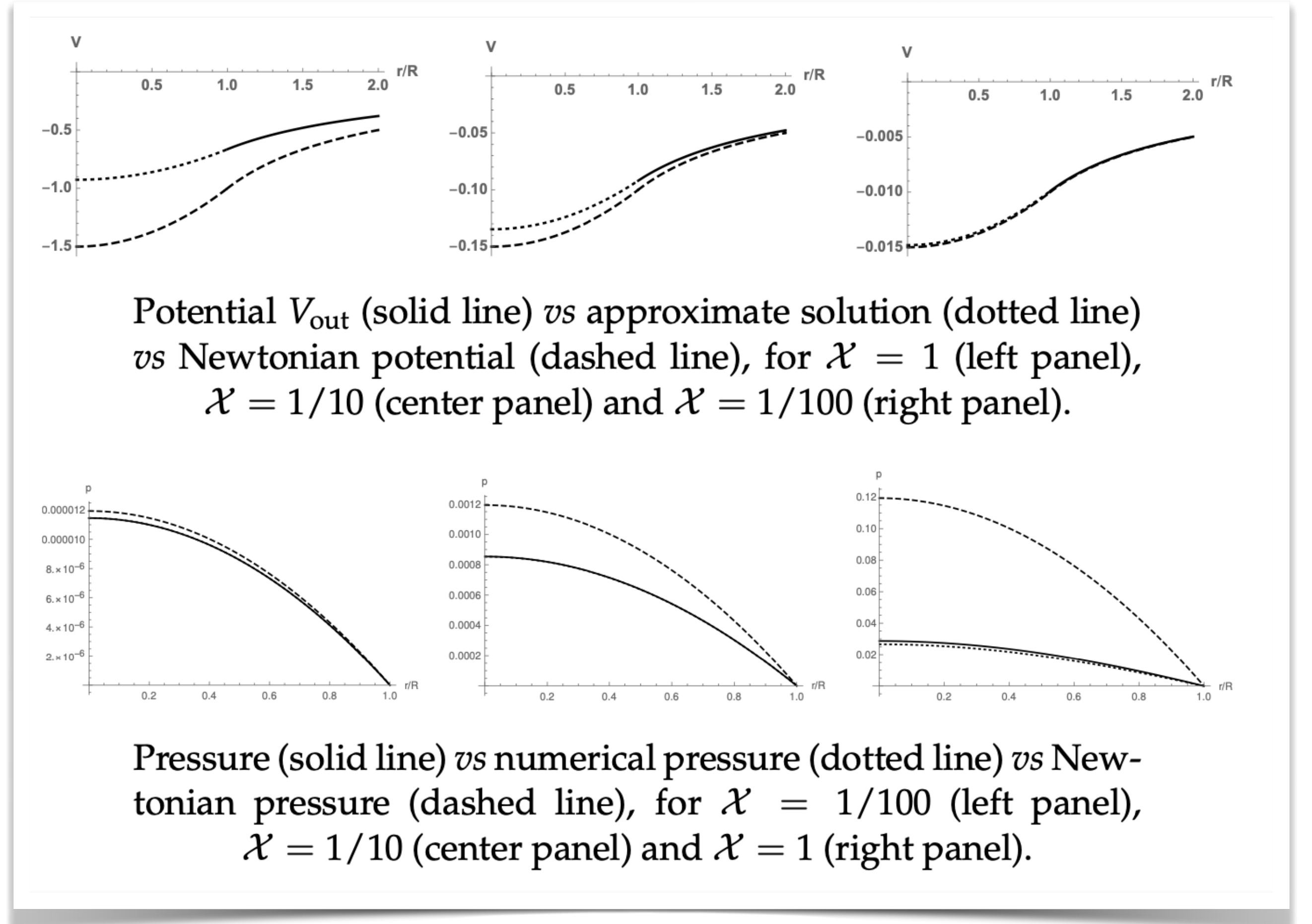
$$V'_{\text{in}}(0) = 0$$

- ADM and proper mass relationship:

$$M_0 = \frac{M e^{-\frac{\chi}{2(1+6\chi)^{1/3}}}}{(1+6\chi)^{1/3}}$$

- Potential after using the boundary conditions:

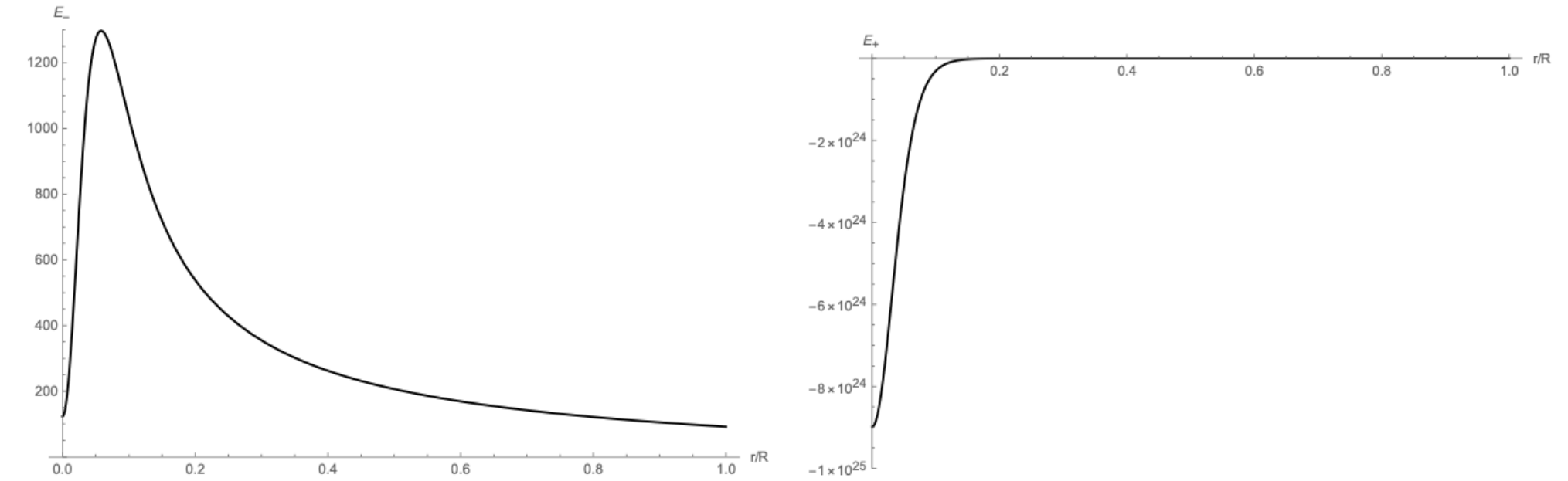
$$V_s = \frac{\left[ (1+6\chi)^{1/3} - 1 \right] + 2\chi \left[ (r/R)^2 - 4 \right]}{4(1+6\chi)^{1/3}}$$



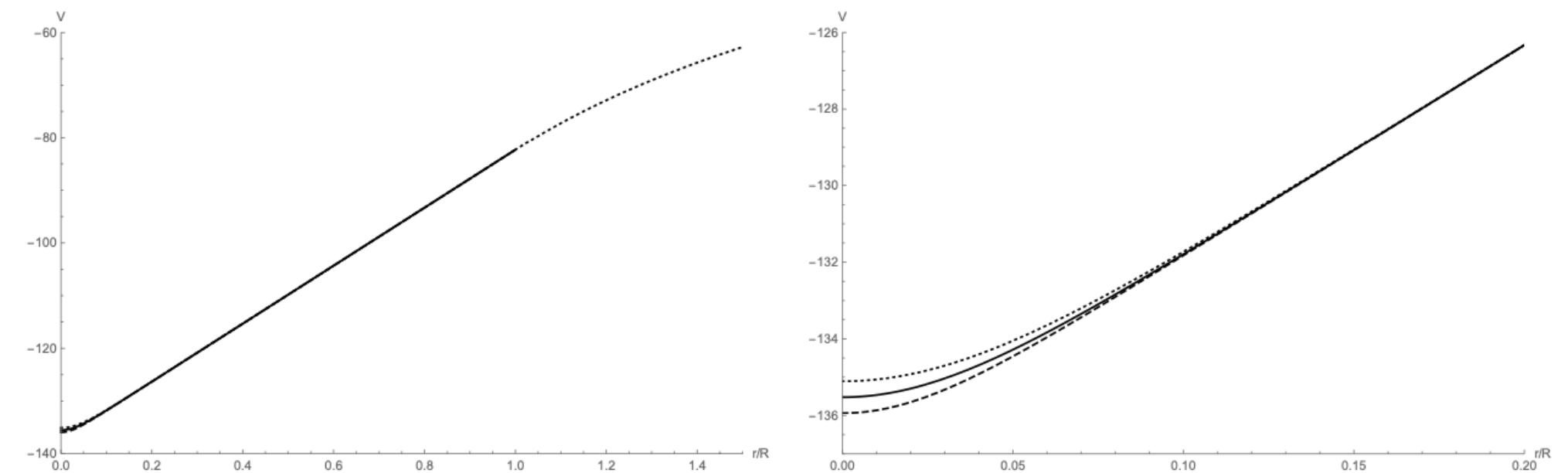
# Large compactness [Phys.Rev.D 98 (2018) 10, Eur.Phys.J.C 79 (2019) 11]

- Rely fully on comparison methods
  - Start with simpler eq. in terms of  $\psi(r; A, B)$
  - The potential is then written:
 
$$V_{\text{in}} = f(r; A, B) \psi(r; A, B)$$
  - Solutions for function  $f(r; A, B)$  are not feasible
  - Find constants such that
 
$$C_- < f(r) < C_+$$
  - And the potential will be bound by
 
$$V_{\pm} = C_{\pm} \psi(r; A_{\pm}, B_{\pm})$$
  - Approximate linear solution:

$$V_{\text{lin}} \simeq V_R + V'_R (r - R)$$



Left panel:  $E_-$  for  $C_- = 1$ . Right panel:  $E_+$  for  $C_+ = 1.6$ . Both cases considering  $\mathcal{X} = 10^3$ .



Left panel: approximate inner potentials  $V_-$  (dashed line),  $\tilde{V}$  (solid line) and  $V_+$  (dotted line) for  $0 \leq r \leq R$  and exact outer potential  $V_{\text{out}}$  (dotted line) for  $r > R$ . Right panel: approximate inner potentials  $V_-$  (dashed line),  $\tilde{V}$  (solid line) and  $V_+$  (dotted line) for  $0 \leq r \leq R/5$ . Both plots are for  $\mathcal{X} = 10^3$ .



# Horizon and Buchdahl limit [Phys.Rev.D 98 (2018) 10, Eur.Phys.J.C 79 (2019) 11]

- In general relativity

- Schwarzschild radius

$$R_H = 2 G_N M$$

- Buchdahl limit (using TOV-equation)

$$R > (9/8) R_H$$

- We assume a Newtonian horizon

$$2 V(r_H) = -1$$

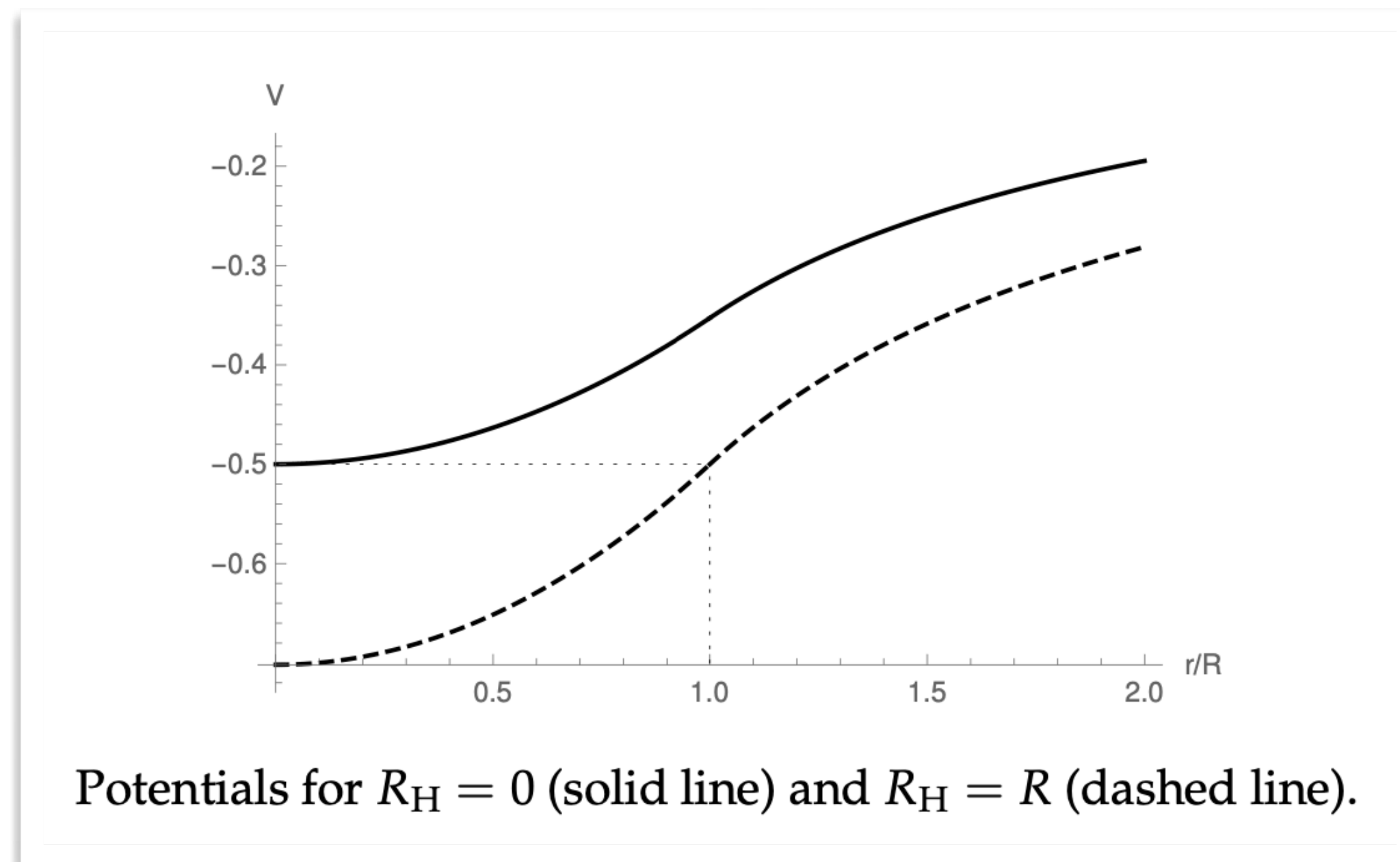
- Horizon inside the source

$$2 V_{\text{in}}(R_H = 0) = -1$$

- Horizon at the edge of the source

$$2 V_{\text{in}}(R_H = R) = 2 V_{\text{out}}(R) = -1$$

- *No Buchdahl limit exists for Bootstrapped Newtonian stars!*



$$\left\{ \begin{array}{ll} \text{no horizon} & \text{for } G_N M/R \lesssim 0.46 \\ 0 < r_H \leq R \simeq 1.4 G_N M & \text{for } 0.46 \lesssim G_N M/R \leq 0.69 \\ r_H \simeq 1.4 G_N M & \text{for } G_N M/R \gtrsim 0.69 . \end{array} \right.$$

# Polytropic stars [Phys.Rev.D 102 (2020) 10]

- Polytropic eq. of state:

$$p(r) = \gamma \rho^n(r) = \tilde{\gamma} \rho_0 \left[ \frac{\rho(r)}{\rho_0} \right]^n$$

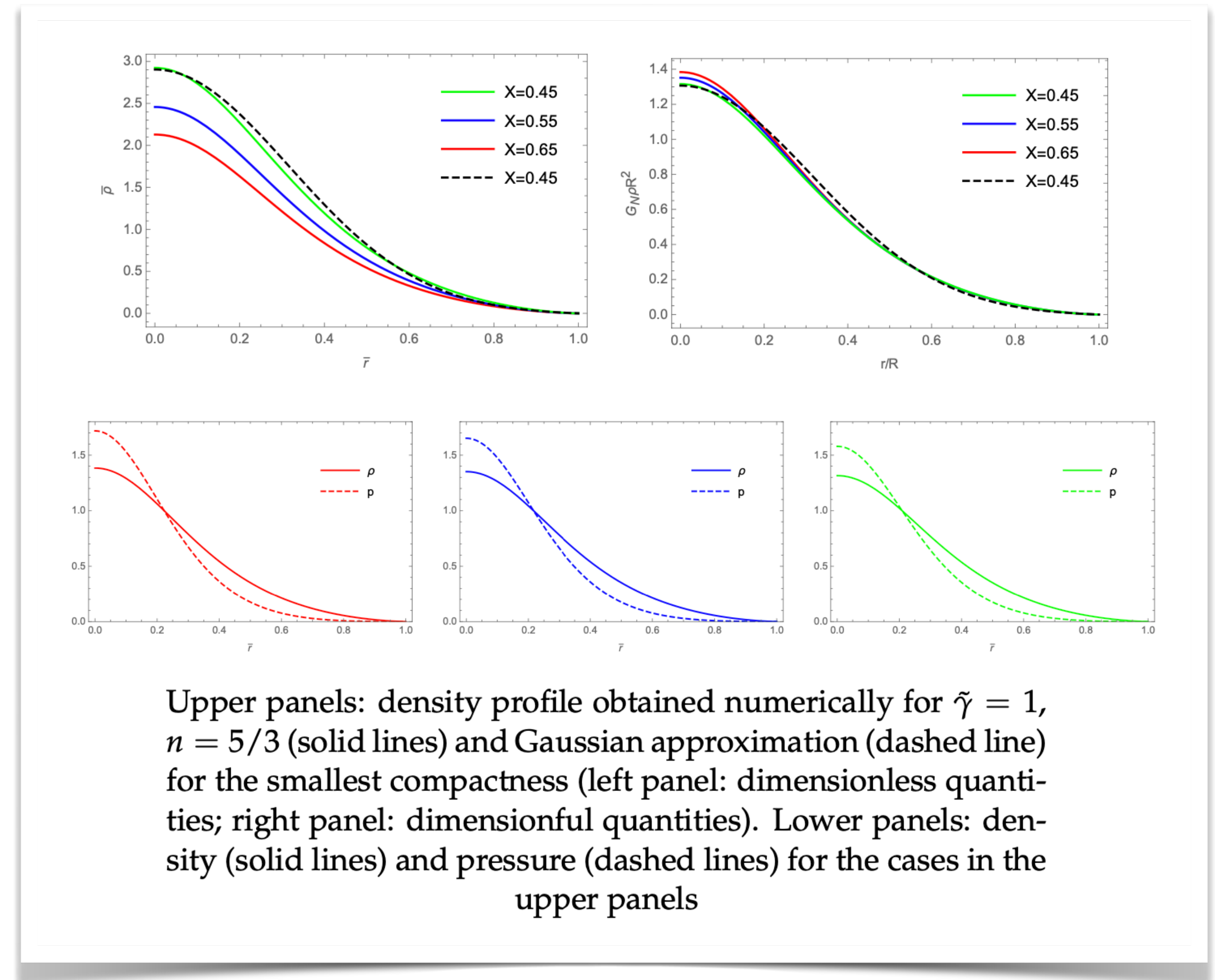
- same EOM as before with couplings set to 1:
- Use conservation eq. and EOS to write EOM in terms of the density and compactness.

- Therefore, use Gaussian density profiles:

$$\rho = \begin{cases} \rho_0 e^{-\frac{r^2}{b^2 R^2}}, & r \leq R \\ 0, & r > R. \end{cases}$$

- impose a slight discontinuity at:

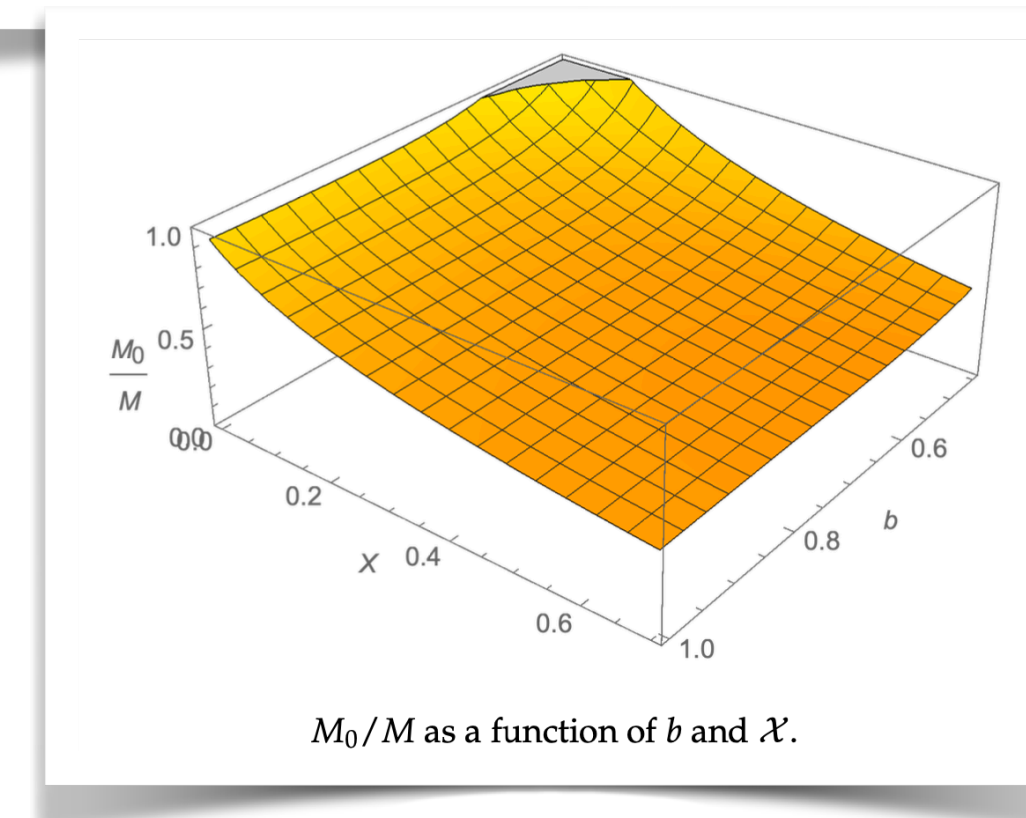
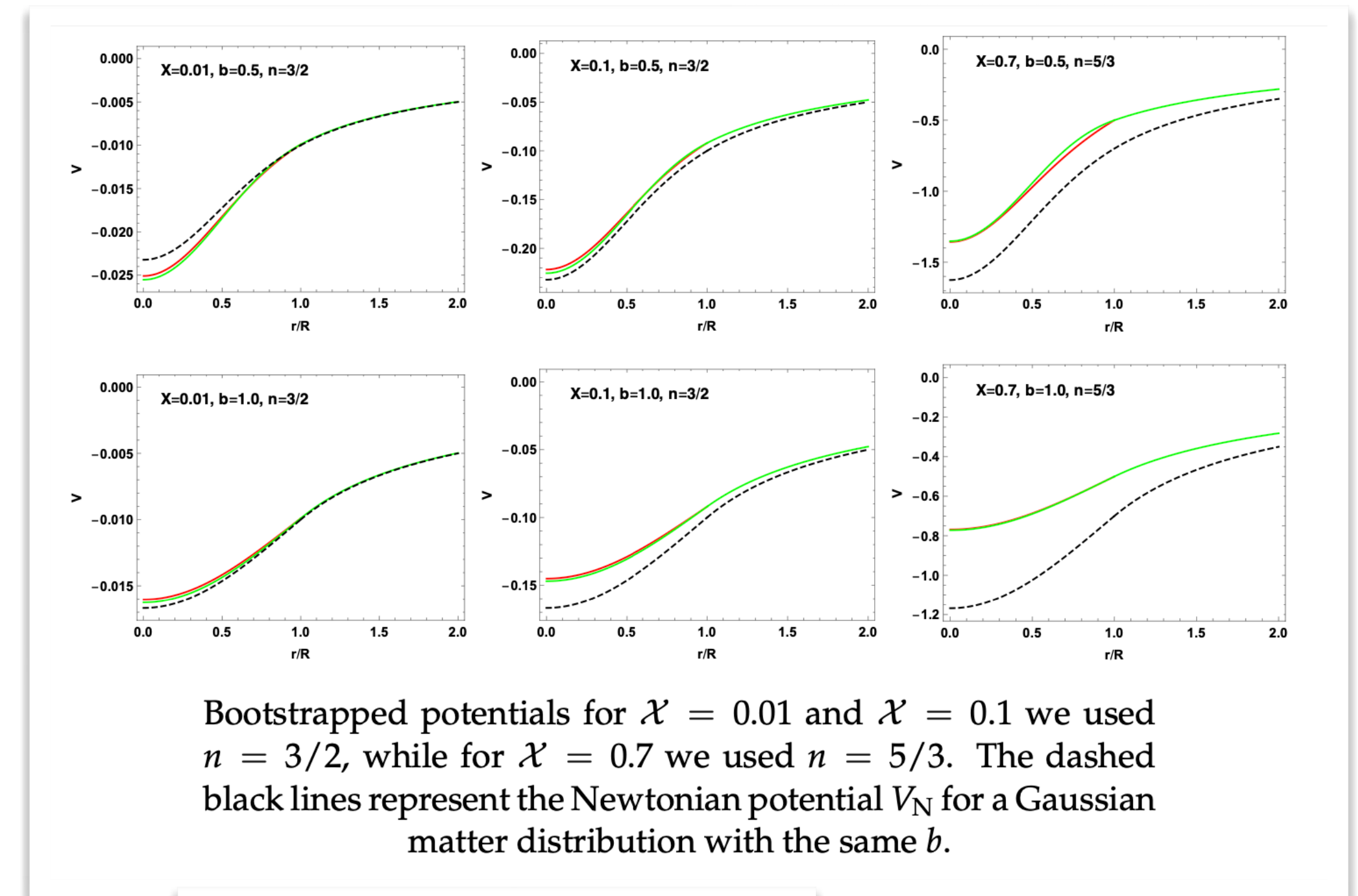
$$\rho_R \equiv \rho(R) = 0$$



# Polytropic stars [Phys.Rev.D 102 (2020) 10]

...skip intermediary steps. Some conclusions:

- Numerical errors (resulting from solving the EoM) are smaller for larger values of  $b$ .
- The Newtonian and bootstrapped Newtonian potentials are more different for more compact objects. The differences becomes insignificant for smaller densities.
- Newtonian potential generates deeper wells for most cases (all except upper left plot).
- In Newtonian physics  $M_0/M = 1$ , while in the bootstrapped Newtonian model it is (almost) always smaller than one.
- Bootstrapped Newtonian stars can be much more compact than general relativistic ones and can withstand higher pressures.



# On the masses of bootstrapped Newtonian stars [Mod.Phys.Lett.A 35 (2020) 21]

- Generally the ADM mass and the proper mass are different! (In Newtonian physics they are the same)
  - Go back to the simple case of uniform densities!
  - We take a look at the effect of the *higher order term coupling*  $q_\rho$  on the relationship between the ADM mass and proper mass, so we set the other couplings to 1.
  - We use the same approximation as before (series expansion of the potential around  $r=0$ ) and get:

$$V_s \simeq \frac{R^2 \left[ (1 + 6 \mathcal{X})^{1/3} - 1 \right] + 2 \mathcal{X} (r^2 - 4 R^2)}{4 R^2 (1 + 6 \mathcal{X})^{1/3}}$$

- What is most interesting though is that only the ratio of the masses depends on the coupling:

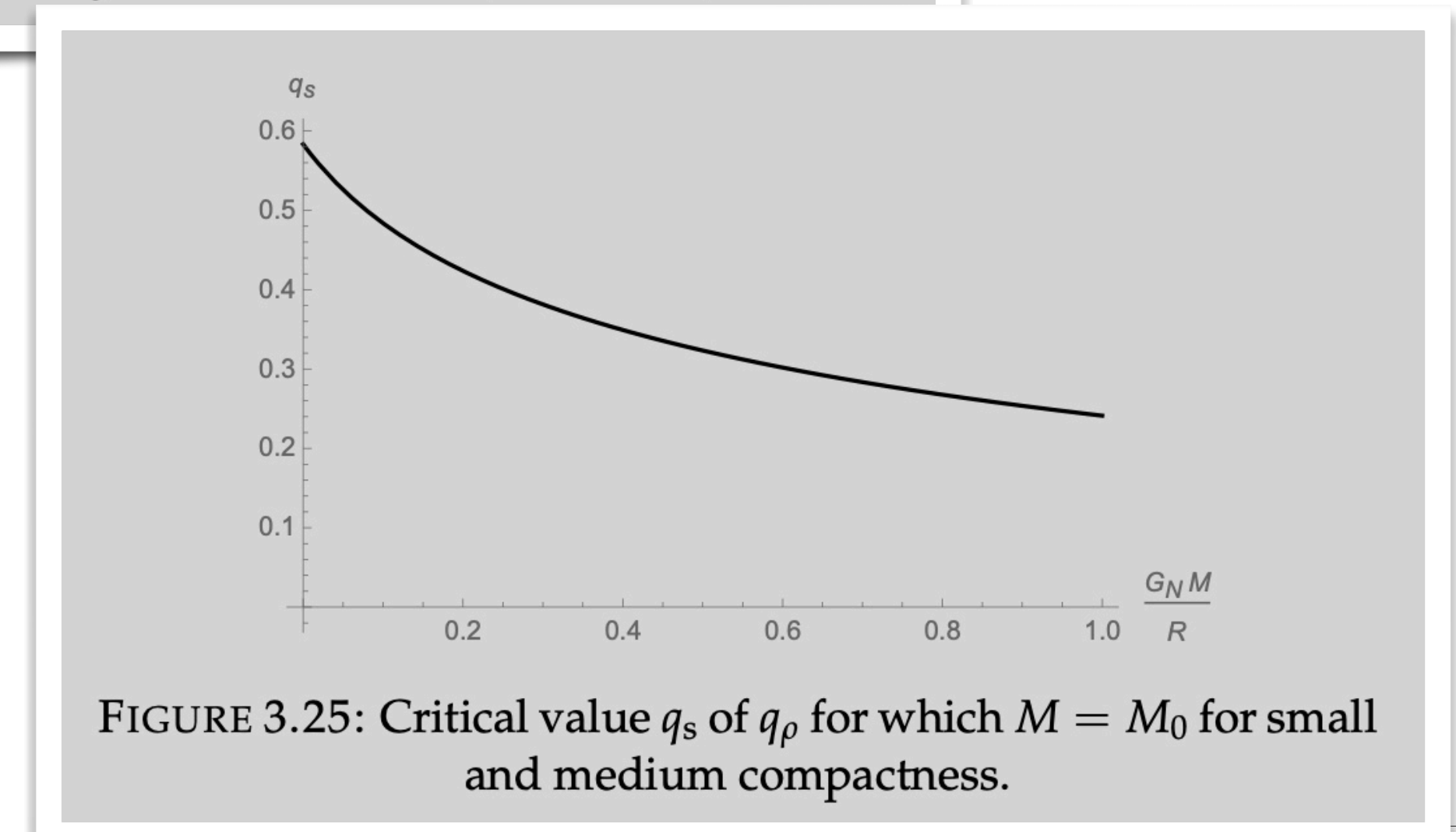
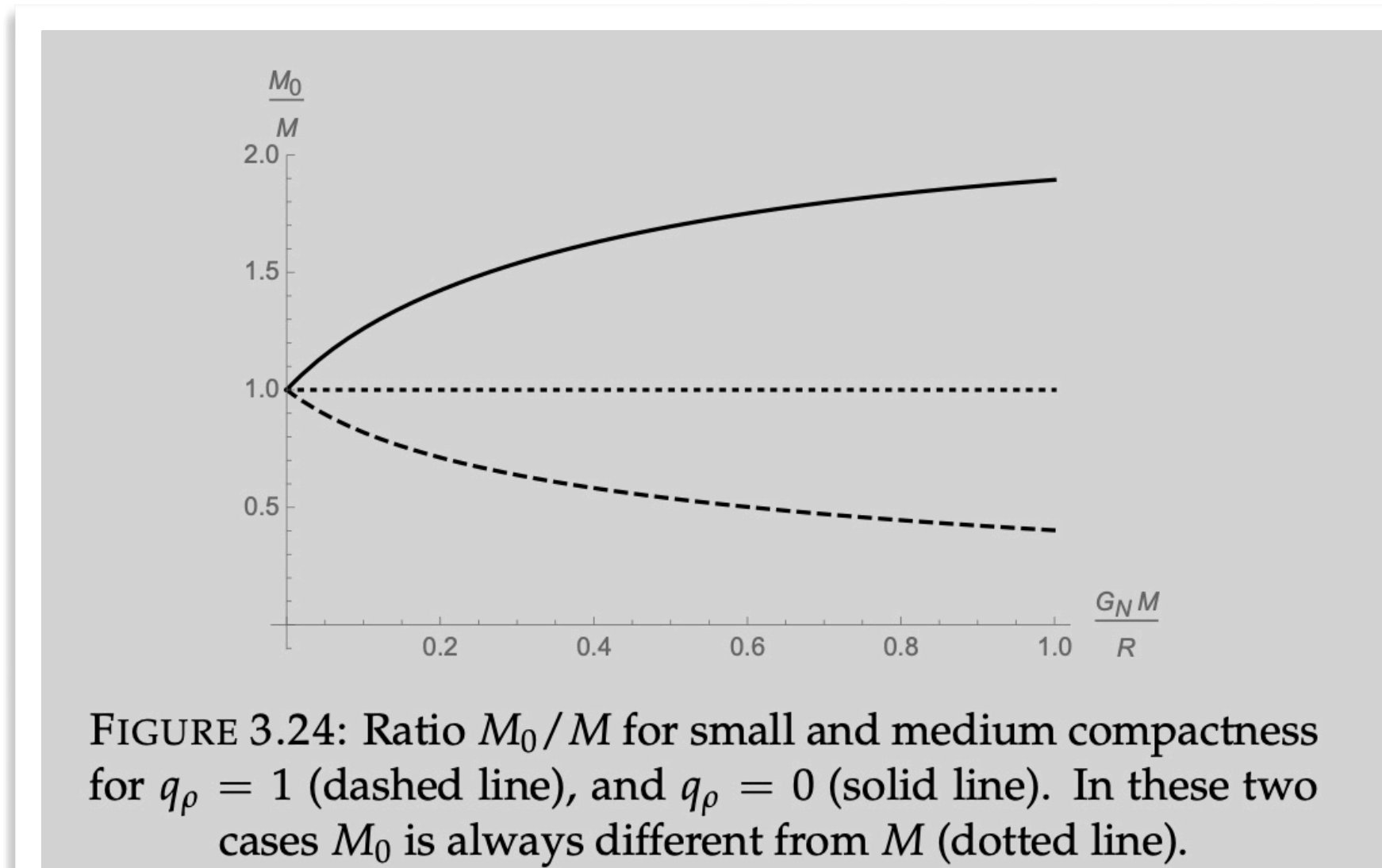
$$\frac{M_0}{M} \simeq \frac{e^{-\frac{\mathcal{X}}{2(1+6\mathcal{X})^{1/3}}} (1 + 8 \mathcal{X})}{(1 + 6 \mathcal{X})^{2/3} \left[ 1 - q_\rho + \frac{(1+8\mathcal{X})}{(1+6\mathcal{X})^{1/3}} q_\rho \right]}$$

# On the masses of bootstrapped Newtonian stars [Mod.Phys.Lett.A 35 (2020) 21]

- In the *low compactness limit* the ratio goes to one.
- There is a critical value for which this ratio is equal to one:

$$q_s \simeq \frac{(1 + 8\mathcal{X}) e^{-\frac{\mathcal{X}}{2(1+6\mathcal{X})^{1/3}}} - (1 + 6\mathcal{X})^{1/3}}{(1 + 6\mathcal{X})^{1/3} [1 + 8\mathcal{X} - (1 + 6\mathcal{X})^{1/3}]}$$

- Below the critical value of the coupling the ratio is greater than one.
- Above the critical value the ratio is smaller than one.
- A quite similar treatment with similar results was performed for the high compactness regime. (details can be found in the reference above)



# Binary mergers: mass gap and black hole area law [Phys.Lett.B 834 (2022) 137455]

- This is interesting in the context of the LIGO discovery of gravitational waves.
- We start from the horizon radius:

$$V_{\text{out}}(R_H) = -1/2 \quad \rightarrow \quad R_H = \frac{6 q_V G_N M}{(1 + 2 q_V)^{3/2} - 1}$$

& ADM - proper mass relation, which reads:

low compactness

$$M_0 = \frac{M}{(1 + 6 q_V \mathcal{X})^{1/3}} \simeq (1 - 2 q_V \mathcal{X}) M$$

high compactness (black hole limit and beyond):

$$M_0 \simeq \frac{M}{q_V^{1/3} \mathcal{X}^{1/3}}$$

And following constraints:

- the *amount of ejected mass cannot have an arbitrarily small value*. This imposes a *lower bound on the ejected mass during the merger*, quantity which is a function of the masses and radii of the initial stars (or black holes).
- as they *increase in size black holes become less and less compact*. So, when black holes merge they likely transform into other heavier and less dense black holes.

# Binary mergers: mass gap and black hole area law [Phys.Lett.B 834 (2022) 137455]

- For instance in case of the coalescence of two stars we have

$$M_0^{(f)} = M_0^{(1)} + M_0^{(2)} - \delta M_0$$

$$M_{(f)} \simeq (1 + 6q_V \mathcal{X}_{(f)})^{1/3} \left[ \frac{M_{(1)}}{(1 + 6q_V \mathcal{X}_{(1)})^{1/3}} + \frac{M_{(2)}}{(1 + 6q_V \mathcal{X}_{(2)})^{1/3}} - \delta M_0 \right]$$

and for the the merger of two stars (of low compactness) we also expect to have

$$\delta M \simeq M_{(1)} + M_{(2)} - M_{(f)} \geq \delta M_0$$

Separate cases:

- ★ Stars merging into stars
- ★ Stars merging into a black hole
- ★ Star merging with a black hole
- ★ Black holes merging into a black hole

# Binary mergers: mass gap and black hole area law [Phys.Lett.B 834 (2022) 137455]

- *Stars merging into stars:*

$$\delta M_0 \gtrsim \left(1 - \frac{\mathcal{X}_{(1)}}{\mathcal{X}_{(f)}}\right) M_{(1)} + \left(1 - \frac{\mathcal{X}_{(2)}}{\mathcal{X}_{(f)}}\right) M_{(2)}$$

$$\mathcal{X}_{(f)} \lesssim \frac{\mathcal{X}_{(1)} M_{(1)} + \mathcal{X}_{(2)} M_{(2)}}{M_{(1)} + M_{(2)} - \delta M_0}$$

- Constrains the increase of the compactness by the amount of proper mass/energy emitted
- *Stars merging into a black hole:*

$$\mathcal{X}_{(f)} \lesssim \frac{1}{q_V} + 6 \frac{\mathcal{X}_{(1)} M_{(1)} + \mathcal{X}_{(2)} M_{(2)}}{M_{(1)} + M_{(2)} - \delta M_0}$$

- RHS must be greater than one, since the first term is greater than one.



# Binary mergers: mass gap and black hole area law [Phys.Lett.B 834 (2022) 137455]

- *Star merging with a black hole:*

$$\chi_{(f)}^{1/3} \lesssim \frac{q_V^{-1/3} (M_{(1)} + M_{(2)} - \delta M_0)}{M_{(1)} / \left( q_V^{1/3} \chi_{(1)}^{1/3} \right) + (1 - 2 q_V \chi_{(2)}) M_{(2)} - \delta M_0}$$

- *Merger of two black holes:*

- When black holes merge, it is assumed that *no proper mass is emitted!*

$$\chi_{(f)} \lesssim \left( \frac{M_{(1)} + M_{(2)}}{M_{(1)} \chi_{(2)}^{1/3} + M_{(2)} \chi_{(1)}^{1/3}} \right)^3 \chi_{(1)} \chi_{(2)}$$

- If  $\chi_{(1)} \simeq \chi_{(2)} \equiv \chi_{(i)} \rightarrow \delta M \simeq (M_{(1)} + M_{(2)}) \left( 1 - \frac{\chi_{(f)}^{1/3}}{\chi_{(i)}^{1/3}} \right) \rightarrow \chi_{(f)} \lesssim \chi_{(i)}$

# Binary mergers: mass gap and black hole area law [Phys.Lett.B 834 (2022) 137455]

- Area law and black hole thermodynamics

- Suppose a black hole of mass  $M$  absorbs a star of a much smaller mass  $\delta M$ , and no significant amount of proper mass is radiated away. Also, assume for simplicity that  $\mathcal{X}_{(f)} \simeq \mathcal{X}_{(1)} \equiv \mathcal{X} \geq 1$  and  $\mathcal{X}_2 \ll 1$ . The *black hole area*  $\mathcal{A} = 4\pi R_H^2$  changes as:

$$\frac{\Delta \mathcal{A}}{\mathcal{A}} \simeq 2 \frac{M_{(f)} - M}{M} \simeq 2 q_V^{1/3} \mathcal{X}^{1/3} (1 - 2 q_V \mathcal{X}_{(2)}) \frac{\delta M}{M}$$

- *Entropy:*

- The temperature is:  $T = \frac{\kappa}{2\pi}$ ,  $\kappa = a(r)|_{r=R_H} = \frac{G_N M}{R_H^2} \left(1 + 6 q_V \frac{G_N M}{R_H}\right)^{-1/3}$

or 
$$T \simeq \frac{\beta(q_V)}{8\pi G_N M}$$

which leads to the entropy: 
$$dS = \frac{dM}{T} \rightarrow S = \frac{4\pi G_N M^2}{\beta(q_V)} = \beta(q_V) \frac{\mathcal{A}}{4G_N}$$

# Binary mergers: mass gap and black hole area law [Phys.Lett.B 834 (2022) 137455]

- The entropy can be used to impose more constraints on the result of a *two black holes collision*
  - no proper matter energy is emitted during the process
  - entropy is an additive quantity
  - entropy must increase in such a collision
  - for simplification purposes assume initial black holes have roughly the same compactness

$$\chi_{(f)}^{2/3} (M_{(1)} + M_{(2)})^2 \geq \chi_{(i)}^{2/3} (M_{(1)}^2 + M_{(2)}^2)$$

- Along with the previous constraint obtained for this case we get

$$\left[ \frac{M_{(1)}^2 + M_{(2)}^2}{(M_{(1)} + M_{(2)})^2} \right]^{3/2} \lesssim \frac{\chi_{(f)}}{\chi_{(i)}} \lesssim 1$$

# Binary mergers: mass gap and black hole area law [Phys.Lett.B 834 (2022) 137455]

- GW150914 signal observed by LIGO

$$E_{\text{GW}} = \delta M \simeq M_{(1)} \left( 1 - \frac{\chi_{(f)}^{1/3}}{\chi_{(1)}^{1/3}} \right) + M_{(2)} \left( 1 - \frac{\chi_{(f)}^{1/3}}{\chi_{(2)}^{1/3}} \right)$$

- The final black hole mass is computed as:

$$M_{(f)} \simeq \chi_{(f)}^{1/3} \left[ \frac{M_{(1)}}{\chi_{(1)}^{1/3}} + \frac{M_{(2)}}{\chi_{(2)}^{1/3}} \right] \rightarrow 62 \simeq 29 \left( \frac{\chi_{(f)}}{\chi_{(1)}} \right)^{1/3} + 36 \left( \frac{\chi_{(f)}}{\chi_{(2)}} \right)^{1/3}$$

- Since initial masses are similar, we assume similar compactness values and find

$$\frac{\chi_{(f)}}{\chi_{(i)}} \simeq 0.87$$

# Dynamical stability of bootstrapped Newtonian stars

- Newton's second law for a thin shell (considering  $q_V = q_p = q_\rho \equiv 1$ ):

$$(\rho dr) \ddot{r} = - [(\rho + p) V' + p'] dr \quad \text{or} \quad \ddot{r} = - \frac{\rho + p}{\rho} V' - \frac{1}{\rho} p'$$

- When the acceleration is null:

$$p' = - (\rho + p) V'$$

- Homologous adiabatic perturbations:  $p = p_0 \left( \frac{\rho}{\rho_0} \right)^\gamma$

$$\begin{array}{l} dm_0 \rightarrow \text{constant} \\ r_0 \rightarrow r_0 \left( 1 + \frac{\delta r}{r_0} \right) \end{array} \begin{array}{l} \nearrow \\ \searrow \end{array} \begin{array}{l} \frac{\delta \rho}{\rho_0} = -3 \frac{\delta r}{r_0} \\ \frac{\delta p}{p_0} = \gamma \frac{\delta \rho}{\rho_0} \equiv -3 \gamma \frac{\delta r}{r_0} \end{array}$$

$$dm_0 \ddot{r} = - \left( 1 + p_0 \frac{\rho^{\gamma-1}}{\rho_0^\gamma} \right) V' dm_0 - 4 \pi r^2 dp$$

# Dynamical stability of bootstrapped Newtonian stars

- Homogeneous stars:

(after performing some simple algebra)

$$\ddot{\delta r} = -\frac{\mathcal{X} [(3\gamma - 1)\rho_0 + 2p_0]}{R^2 (1 + 6\mathcal{X})^{1/3} \rho_0} \delta r$$

With solution of the type:

$$\delta r = C_+ e^{i\omega t} + C_- e^{-i\omega t}$$

where

$$\omega = \sqrt{\frac{\mathcal{X} [(3\gamma - 1)\rho_0 + 2p_0]}{R^2 (1 + 6\mathcal{X})^{1/3} \rho_0}}$$

- > positive values under the  $\sqrt{\quad}$   $\rightarrow$  oscillatory behaviour and the star is dynamically stable;
- > negative values under the  $\sqrt{\quad}$   $\rightarrow$  the star is unstable.

# Dynamical stability of bootstrapped Newtonian stars

- Polytropic stars:

$$\rho = \begin{cases} \rho_0 e^{-\frac{r^2}{b^2 R^2}}, & r \leq R \\ 0, & r > R. \end{cases}$$

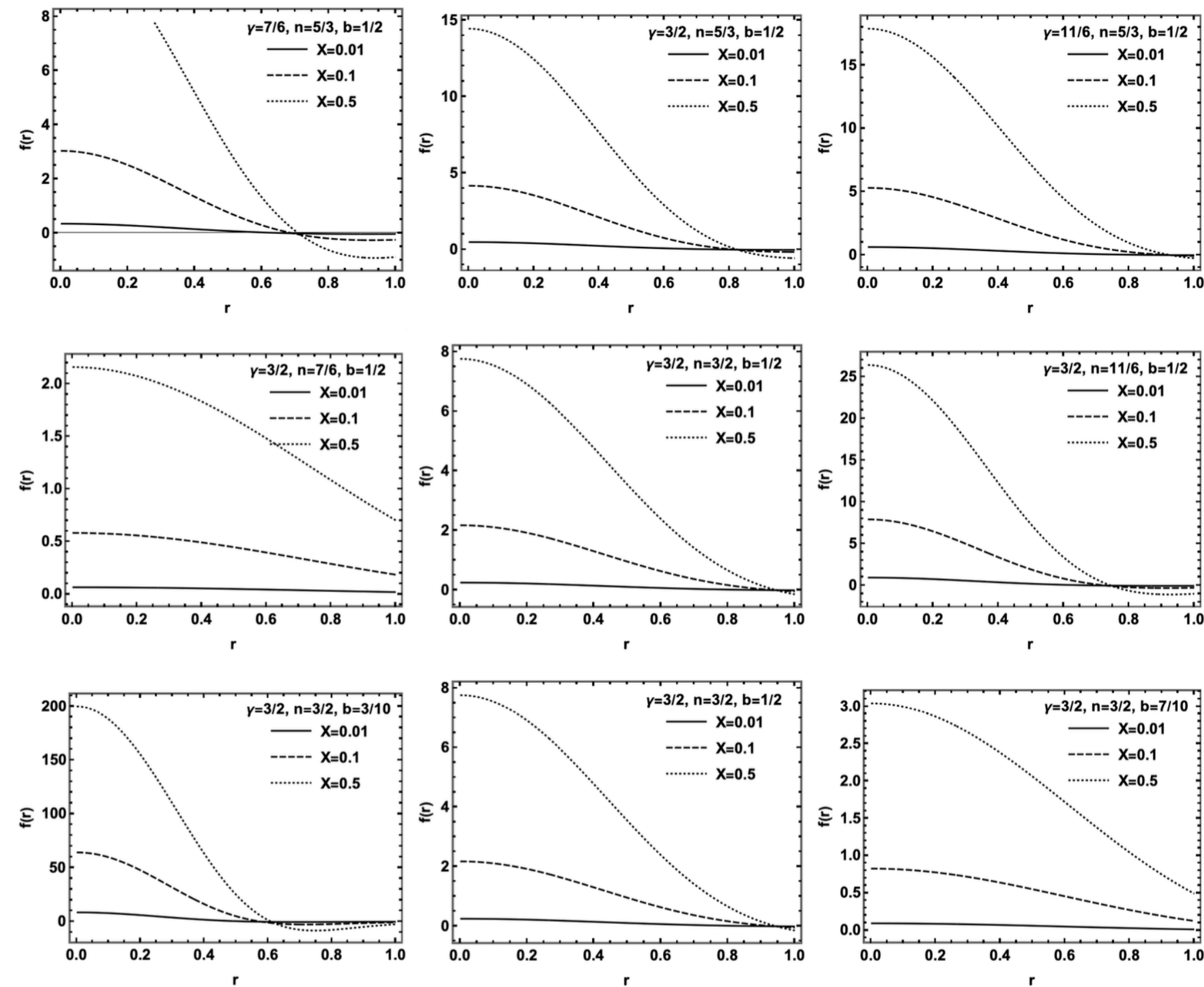
equations are much more involved, but can be brought to the simple form:

$$\ddot{\delta r} = -f(\mathcal{X}, r, R, \gamma, n, b) \delta r \equiv -f(r) \delta r$$

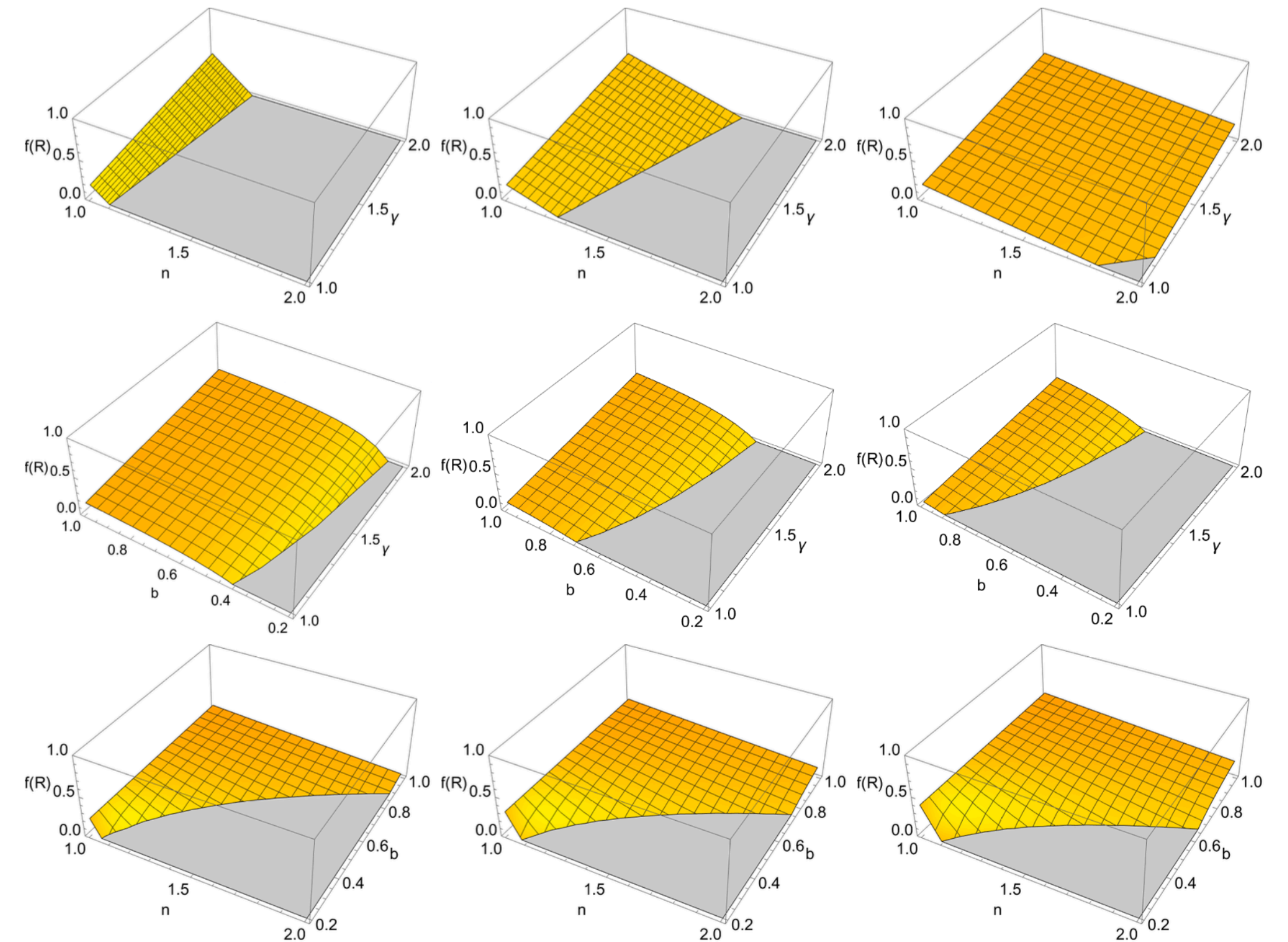
-> one can plot  $f(r)$  for various parameters sets.

# Dynamical stability of bootstrapped Newtonian stars

- Polytropic stars:



Plots of  $f(r)$  as a function of  $r$  for  $R = 1$ . Top panels: the adiabatic index increases from left to right. Middle panels: the polytropic index  $n$  increases from left to right. Bottom panels: the gaussian width  $b$  varies, increasing from left to right. Note the different ranges on the vertical axis.



Top panels: 3D plots of  $f(R)$  for  $b = 0.3$  (left),  $b = 0.5$  (center) and  $b = 0.9$  (right). Middle panels: 3D plots of  $f(R)$  for  $n = 7/6$  (left),  $n = 3/2$  (center) and  $n = 11/6$  (right). Bottom panels: 3D plots of  $f(R)$  for  $\gamma = 7/6$  (left),  $\gamma = 3/2$  (center) and  $\gamma = 11/6$ .



# Bootstrapped Newtonian Gravity Discussion

- One of the most features of the model is the absence of a Buchdahl limit, which means that the (matter) source can be held in equilibrium by a large enough (and finite) pressure for any (finite) compactness value;
- For compactness values of about  $X \approx 0.46$ , a horizon appears within the source. The horizon radius becomes equal to the radius of the source when the compactness value reaches  $X \approx 0.69$ ;
- For polytropic stars, the matter density can be well approximated by a Gaussian distribution. For flatter distributions we recover the results obtained for uniform distributions (a consistency test);
- In the high compactness regime the bootstrapped picture generates stars that are more compact than the ones resulting from solving the TOV equation. This picture holds following comparisons to General Relativity.
- Bootstrapped Newtonian stars with uniform densities are dynamically stable to holonomous adiabatic perturbations.
- Overall, flatter density distributions seem to be favoured in this model.

# Harmonic vs areal coordinates

- Harmonic coordinates given by the harmonic coordinate conditions:

$$\Gamma^\lambda \equiv g^{\mu\nu} \Gamma_{\mu\nu}^\lambda = 0$$

- Starting from the metric

$$ds^2 = -B(r)dt^2 + A(r)dr^2 + r^2 d\Omega^2$$

coordinates  $X_i$  are harmonic

$$X_1 = R(r) \sin \theta \cos \phi;$$

$$X_2 = R(r) \sin \theta \sin \phi;$$

$$X_3 = R(r) \cos \theta;$$

if:  $\square X_i = 0$

$$\square t = 0$$

or:  $\frac{d}{dr} \left( r^2 B^{1/2} A^{-1/2} \frac{dR}{dr} \right) - 2B^{1/2} A^{1/2} R = 0$