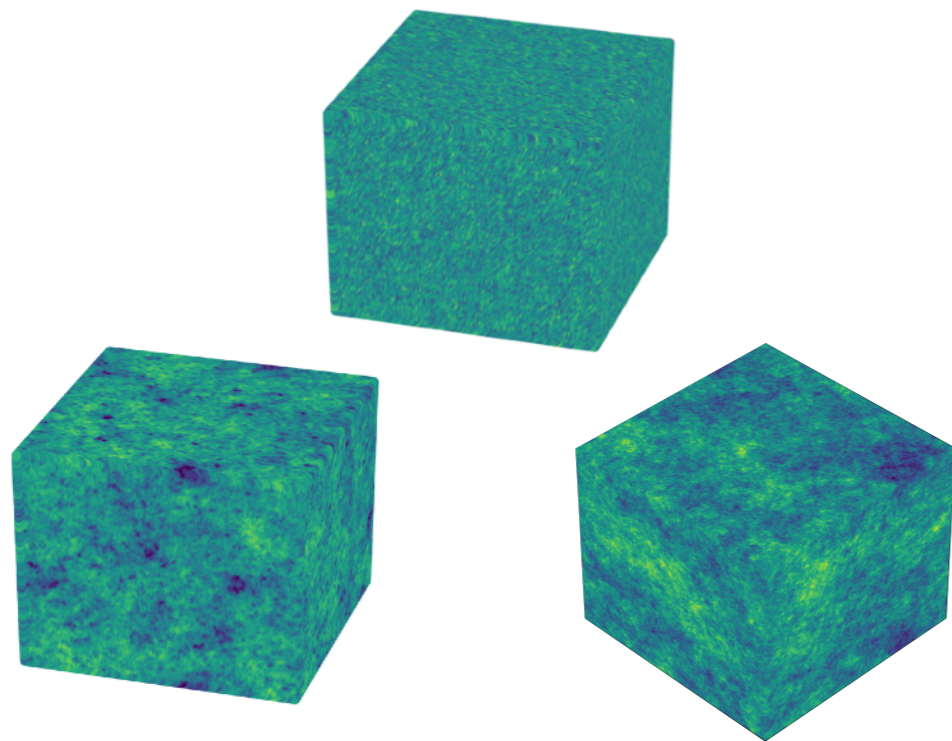


# Lattice Simulations of Axion Inflation


Angelo Caravano (IPI fellow @ IAP, Paris)

Collaborators: E.Komatsu, K.D.Lozanov, J. Weller,...



@ Bernoulli Program 09/05/2024

# Roadmap



0) Introduction and motivation

1) The method: lattice simulations of inflation

2) Lattice simulations of axion-U(1) inflation

# Axion-U(1) inflation

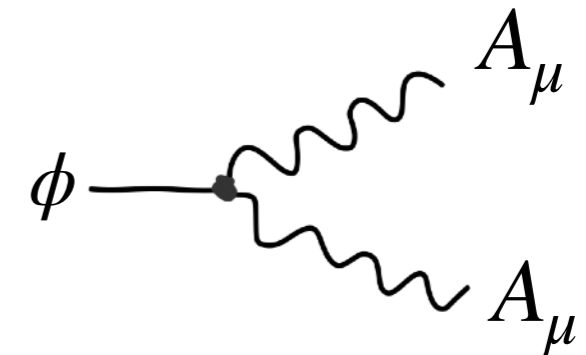
[M. Anber, L. Sorbo 0908.4089]  
[N. Barnaby, M. Peloso 1011.1500]

Interaction between the inflation and a U(1) gauge field

$$A_\mu = (A_0, \vec{A})$$

$$\mathcal{L} \supset \frac{\alpha}{f} \phi \vec{E} \cdot \vec{B}$$

$$\vec{E} = -\nabla A_0 - \partial_t \vec{A}, \quad \vec{B} = \nabla \times \vec{A}$$



# Axion-U(1) inflation

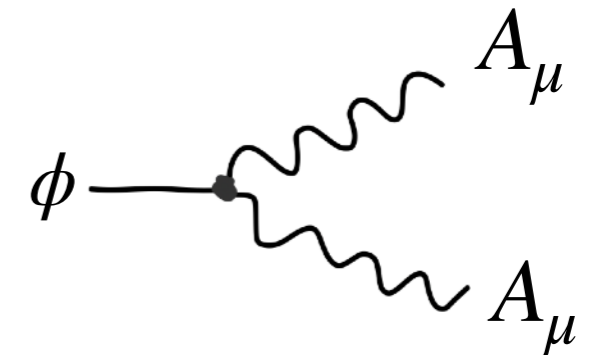
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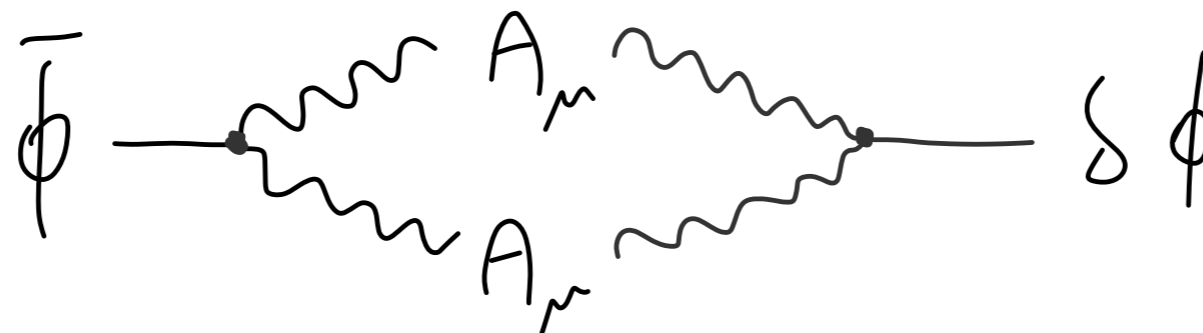
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Observational consequences:

Production of electromagnetic field  $\rightarrow$  decay into inflaton perturbations



# Axion-U(1) inflation

[M. Anber, L. Sorbo 0908.4089]  
[N. Barnaby, M. Peloso 1011.1500]

$$S = \int d^4x \sqrt{-g} \left( \frac{1}{2} M_{\text{Pl}}^2 \mathcal{R} - \frac{1}{2} g^{\mu\nu} \partial_\mu \phi \partial_\nu \phi - V(\phi) - \frac{1}{4} F_{\mu\nu} F^{\mu\nu} - \frac{\alpha}{4f} \phi F_{\mu\nu} \tilde{F}^{\mu\nu} \right)$$

$$F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu$$

In math:

$$\vec{A} \longrightarrow A_\pm$$

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$$\left( \frac{\partial^2}{\partial \tau^2} + 2\mathcal{H} \frac{\partial}{\partial \tau} - \nabla^2 + a^2 V''(\phi) \right) \delta\phi(\vec{x}, \tau) = a^2 \frac{\alpha}{f} \left( F_{\mu\nu} \tilde{F}^{\mu\nu} - \langle F_{\mu\nu} \tilde{F}^{\mu\nu} \rangle \right)$$

$$S = \int d^4x \sqrt{-g} \left( \frac{1}{2} M_{\text{Pl}}^2 \mathcal{R} - \frac{1}{2} g^{\mu\nu} \partial_\mu \phi \partial_\nu \phi - V(\phi) - \frac{1}{4} F_{\mu\nu} F^{\mu\nu} - \frac{\alpha}{4f} \phi F_{\mu\nu} \tilde{F}^{\mu\nu} \right)$$

$$F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu$$

Also source for gravitational waves:

$$\left( \frac{\partial^2}{\partial \tau^2} + 2\mathcal{H} \frac{\partial}{\partial \tau} - \nabla^2 \right) h_{ij}(\vec{x}, \tau) = 2a^2 \left( -E_i E_j - B_i B_j \right)^{TT}$$



## Known results

(Green function methods, in-in calculations)

- Power spectrum:

$$\mathcal{P}_\zeta(k) \simeq \mathcal{P}_{\text{vac}} + \mathcal{P}_{\text{vac}}^2 f_2(\xi) e^{4\pi\xi}$$

vacuum (free theory)                      sourced

$$\mathcal{P}_{\text{vac}} \simeq \frac{H^4}{(2\pi\dot{\phi})^2}$$
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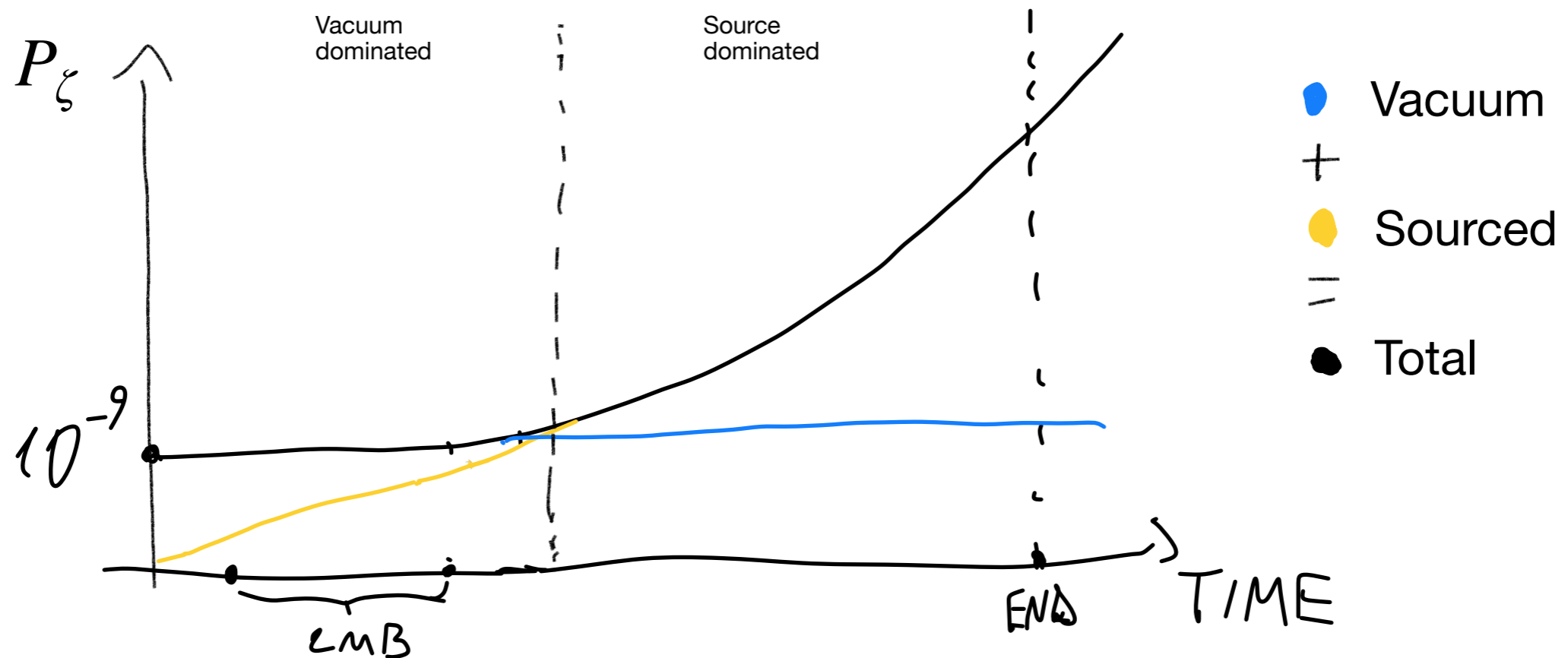
- Bispectrum:

$$f_{\text{NL}}^{(\text{equil.})}(\xi) \simeq \frac{f_3(\xi) \mathcal{P}_{\text{vac}}^3 e^{6\pi\xi}}{\mathcal{P}_\zeta^2}$$

Known results:

- $\mathcal{P}_\xi(k) \simeq \mathcal{P}_{\text{vac}} + \mathcal{P}_{\text{vac}}^2 f_2(\xi) e^{4\pi\xi}$   $\xi = \frac{\alpha\phi}{2fH}$

Scalar perturbations naturally grow on small scales

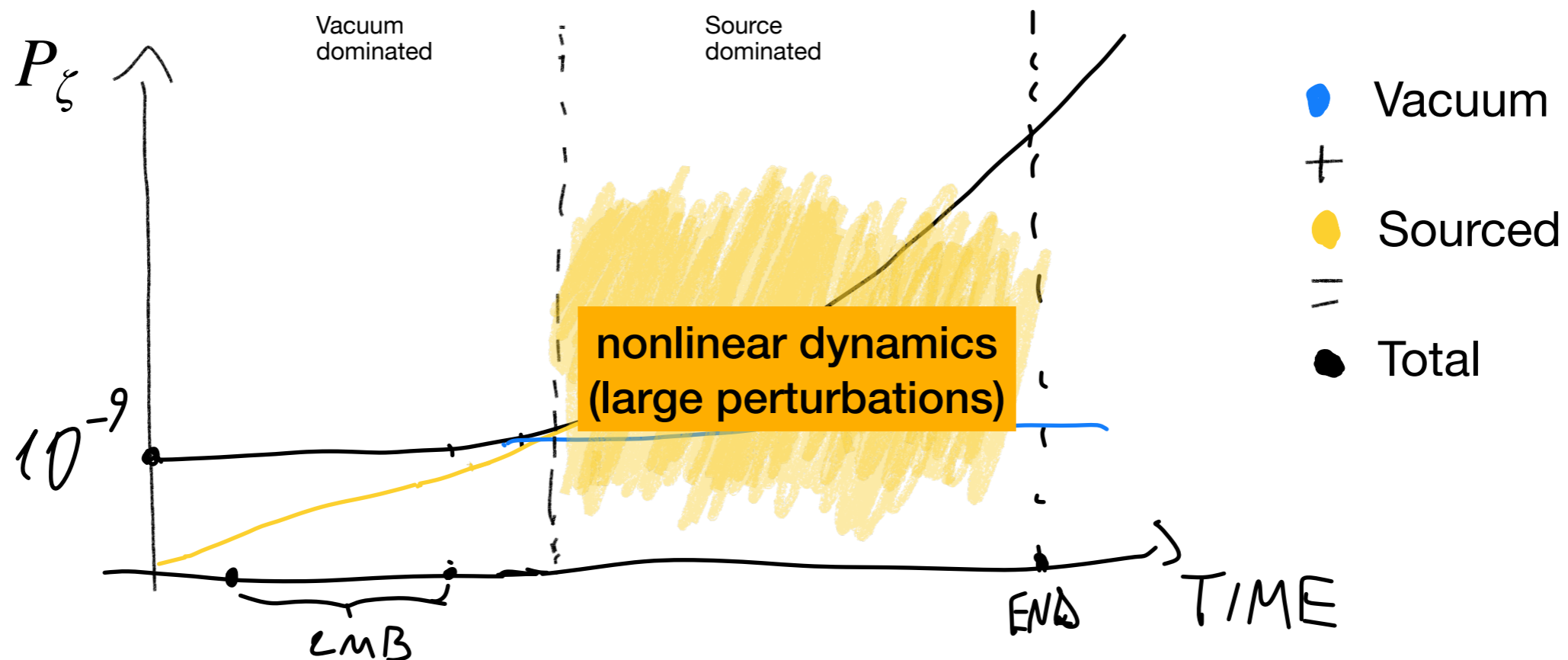


Known results:

- $$\mathcal{P}_\xi(k) \simeq \underbrace{\mathcal{P}_{\text{vac}}}_{\text{blue}} + \underbrace{\mathcal{P}_{\text{vac}}^2 f_2(\xi) e^{4\pi\xi}}_{\text{yellow}}$$

$$\xi = \frac{\alpha\phi}{2fH}$$

Scalar perturbations naturally grow on small scales



More precisely:

$$\partial_\tau^2 \bar{\phi} + \underline{2\mathcal{H} \partial_\tau \bar{\phi}} + a^2 V'(\bar{\phi}) = \underline{a^2 \frac{a}{f} \langle F_{\mu\nu} \tilde{F}^{\mu\nu} \rangle}$$

If these terms become comparable



**backreaction**



Sort of **extra friction**, but not so simple (as we will see)

# Roadmap



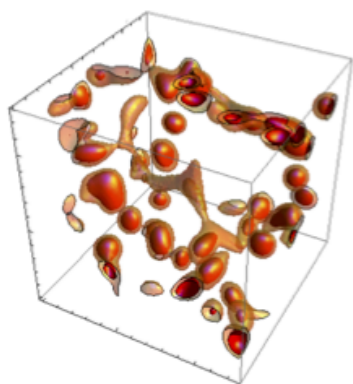
0) Introduction and motivation

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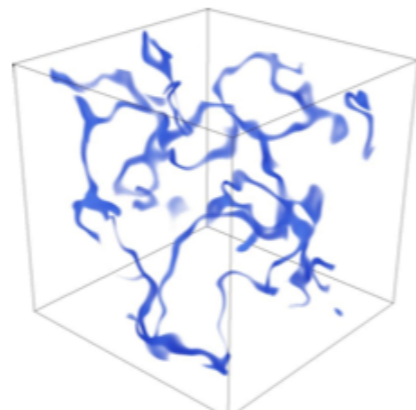
2) Lattice simulations of axion-U(1) inflation

# Lattice simulations

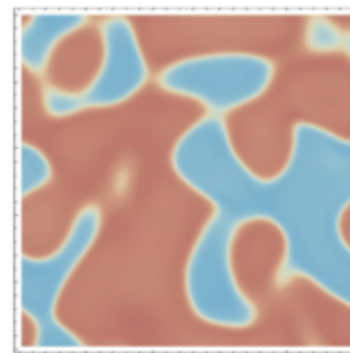
- Numerical tool to study **non-perturbative** cosmological phenomena.
- Examples: **reheating** phase after inflation, cosmological **phase transitions**.



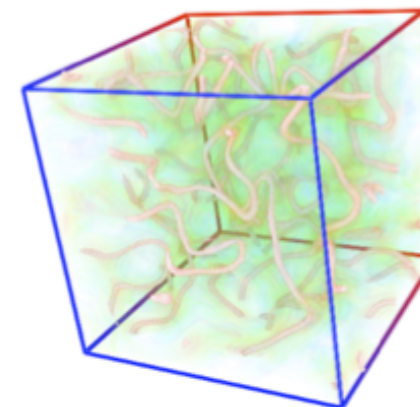
[M. A. Amin, R. Easther, H. Finkel, arXiv:1009.2505]



[A. V. Frolov, arXiv:1004.3559]



[M. A. Amin, J. Fan, K. D. Lozanov, M. Reece, arXiv:1802.00444]



[J. Dufaux, D.G. Figueroa, J. Garcia-Bellido, arXiv:1006.0217]

My goal:

Develop lattice techniques for inflation

**AC**, E. Komatsu, K. D. Lozanov, J. Weller

arXiv  
2102.06378  
2110.10695  
2204.12874

**AC**, S. Renaux-Petel, K. Inomata

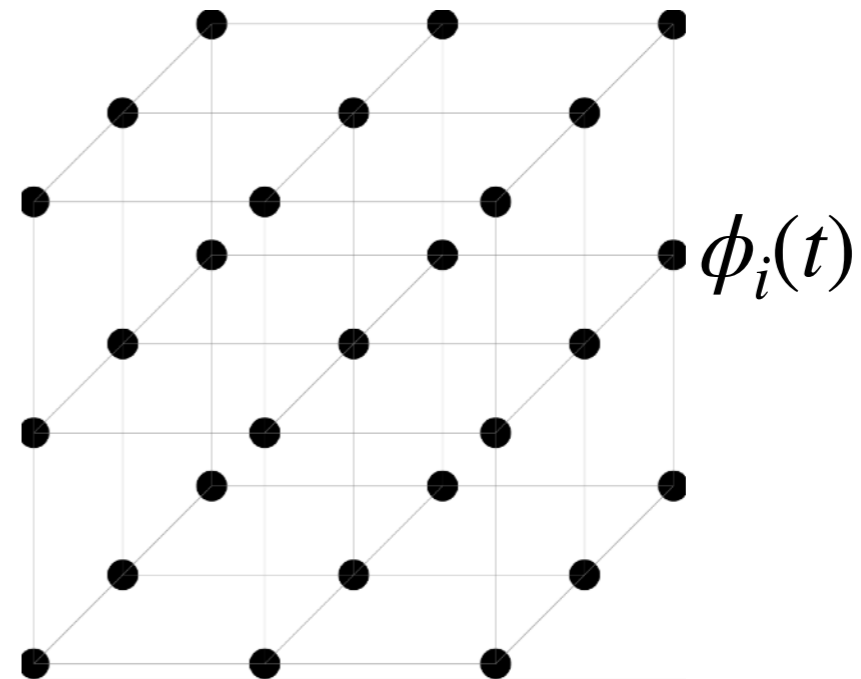
2209.13616  
2403.12811

**AC**, D. Jamieson, E. Komatsu [in preparation]

# Lattice simulations

Put the continuous inflationary universe on a discrete cubic lattice:

$$\phi(\vec{x}, t)$$



$$\phi(\vec{x}, t) = \bar{\phi}(t) + \delta\phi(\vec{x}, t)$$

& perturbation  
theory on  $\delta\phi$



Non-linear evolution of  $\phi_i$

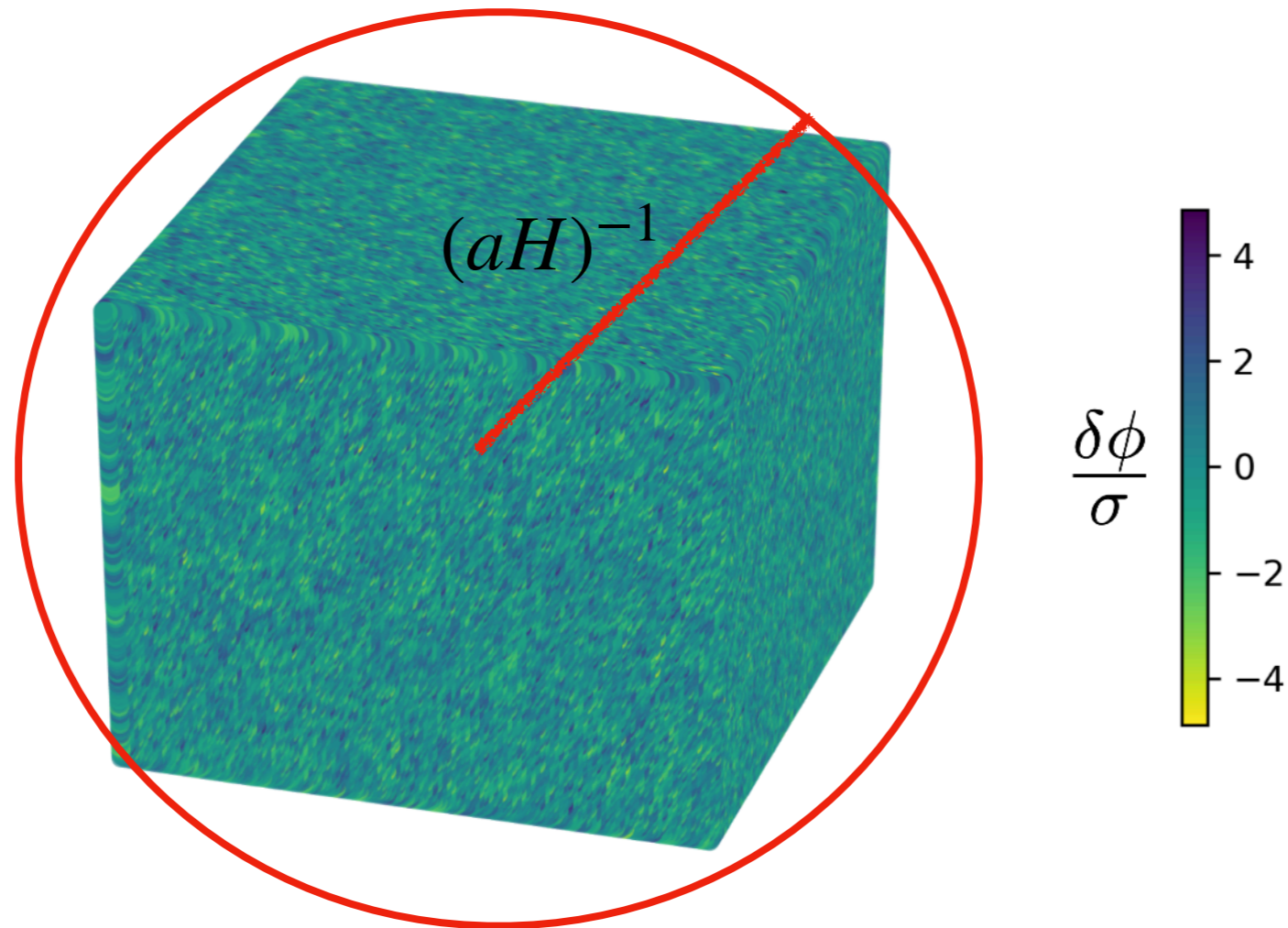
Numerically solve the classical eqs:

$$\frac{\partial \mathcal{L}}{\partial \phi_i} = \frac{d}{dt} \left( \frac{\partial \mathcal{L}}{\partial \dot{\phi}_i} \right)$$



# Lattice approach

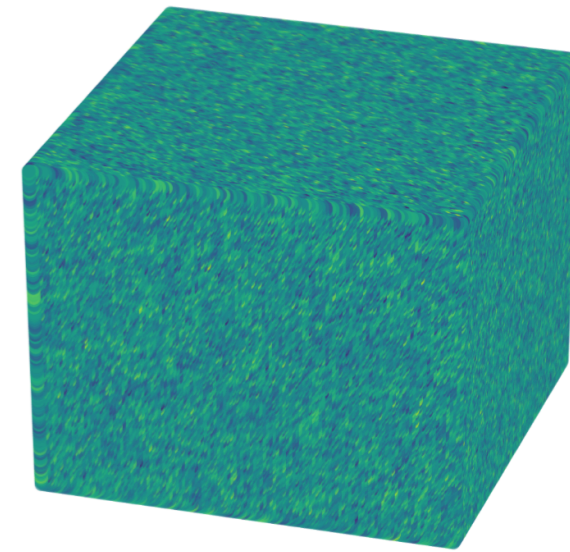
Start with quantum fluctuations on sub-horizon box:



# Lattice approach: initial conditions

- $$\hat{\phi}(\vec{n}) = \sum_{\vec{m}} \left[ \hat{a}_{\vec{m}} u(\vec{k}_{\vec{m}}) e^{i\frac{2\pi}{N}\vec{n}\cdot\vec{m}} + \hat{a}_{\vec{m}}^\dagger u^\dagger(\vec{k}_{\vec{m}}) e^{-i\frac{2\pi}{N}\vec{n}\cdot\vec{m}} \right]$$

$\vec{n} = \text{lattice site}, \quad n_i, m_i \in 1, \dots, N. \quad \vec{k}_{\vec{m}} = \frac{2\pi}{L}\vec{m}$



- Discrete Bunch-Davies spectrum: [AC+ 2102.06378]

$$u(\vec{k}) = \frac{L^{3/2}}{a\sqrt{2\omega_{\vec{k}}}} e^{-i\omega_{\vec{k}}\tau}, \quad \omega_{\vec{k}}^2 = k_{\text{eff}}^2(\vec{k}) + m^2 \quad (\text{discrete dispersion relation})$$

- Stochastic approximation:

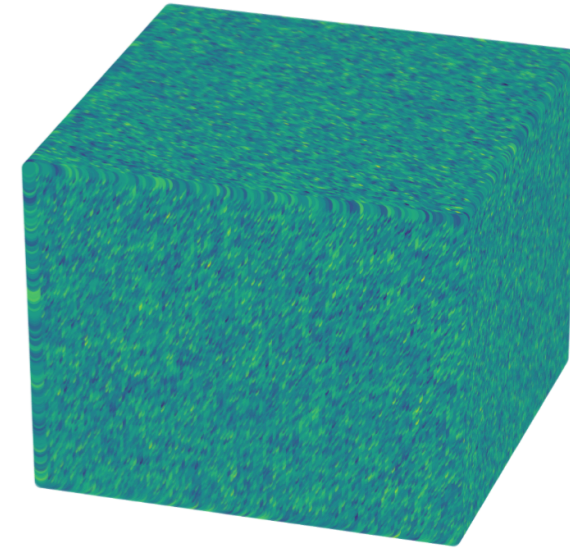
$$\hat{a}_{\vec{m}} = e^{i2\pi\hat{Y}_{\vec{m}}} \sqrt{-\ln(\hat{X}_{\vec{m}})/2},$$

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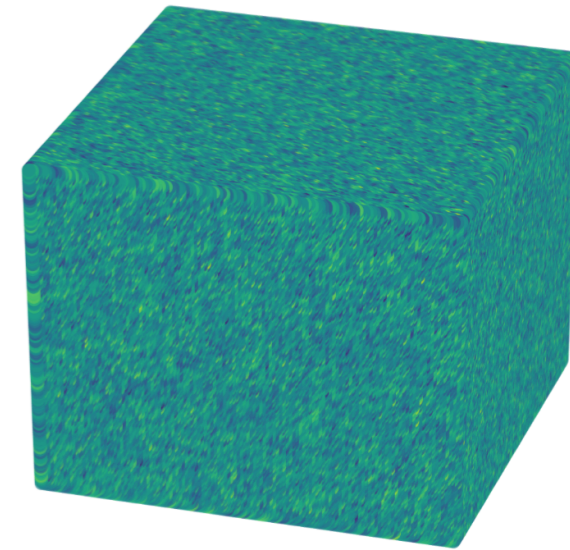
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$$k_{\text{eff}}^2(\vec{k}_{\vec{m}}) = \frac{4}{(dx)^2} \left[ \sin^2\left(\frac{\pi m_1}{N}\right) + \sin^2\left(\frac{\pi m_2}{N}\right) + \sin^2\left(\frac{\pi m_3}{N}\right) \right].$$

- Stochastic approximation:

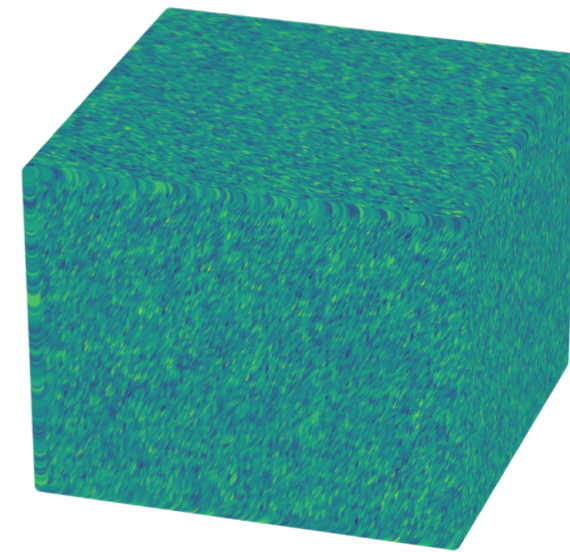
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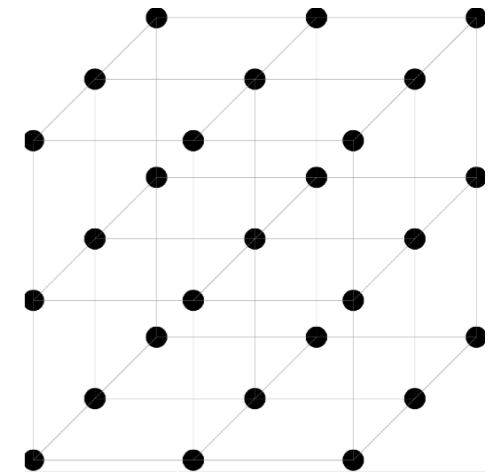
$\hat{X}_{\vec{m}}, \hat{Y}_{\vec{m}}$  uniform randoms between 0 and 1

# Lattice approach: evolution

Solve numerically for all lattice points:

$$\phi''(\vec{n}) + 2H\phi'(\vec{n}) - \nabla^2\phi(\vec{n}) + a^2\frac{\partial V}{\partial\phi}(\vec{n}) = 0$$

+ Friedmann equation for scale factor  $\frac{d^2a}{d\tau^2} = \frac{1}{6} (\langle\rho\rangle - 3\langle p\rangle) a^3$

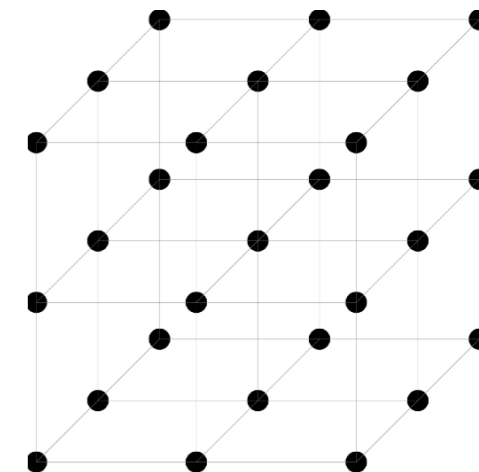


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Assuming **unperturbed metric**

$$ds^2 = a^2(-d\tau^2 + d\vec{x}^2) \quad \text{because:}$$

- $\delta g_{ij} \equiv 0$  (gauge freedom)

- $\delta g_{0\mu} \propto \epsilon = \frac{\dot{H}}{H^2} = \frac{\frac{1}{2}\dot{\phi}^2}{M_{\text{Pl}}^2 H^2} \rightarrow 0$ , known as “decoupling limit” of gravity  $M_{\text{Pl}} \rightarrow \infty$

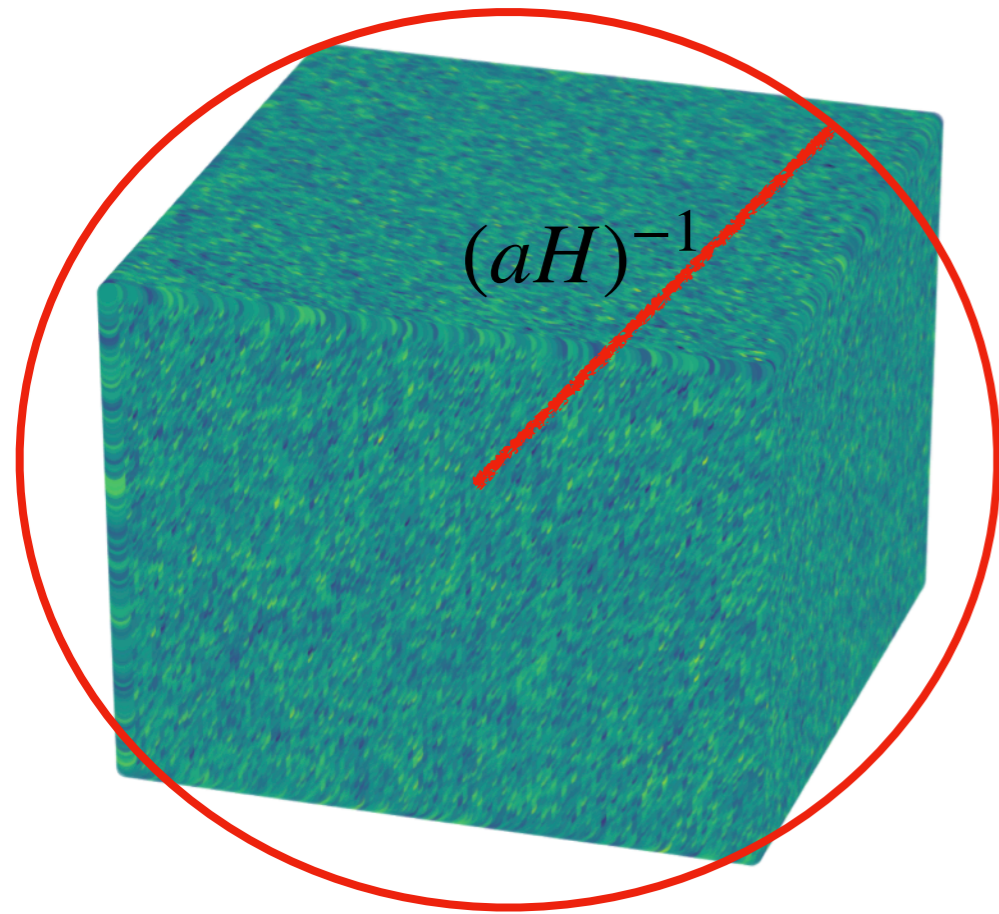
See e.g.

C. Cheung et al. [0709.0293]

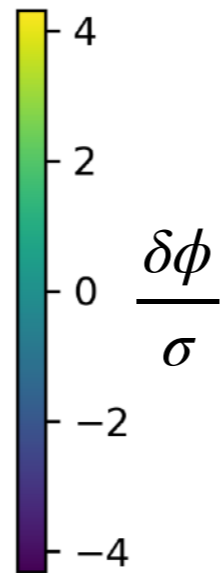
S. R. Behbahani et al. [1111.3373]

P. Creminelli et al. [2401.10212]

# Lattice simulations of inflation

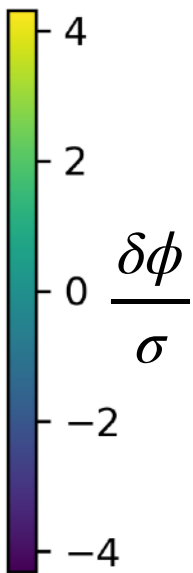
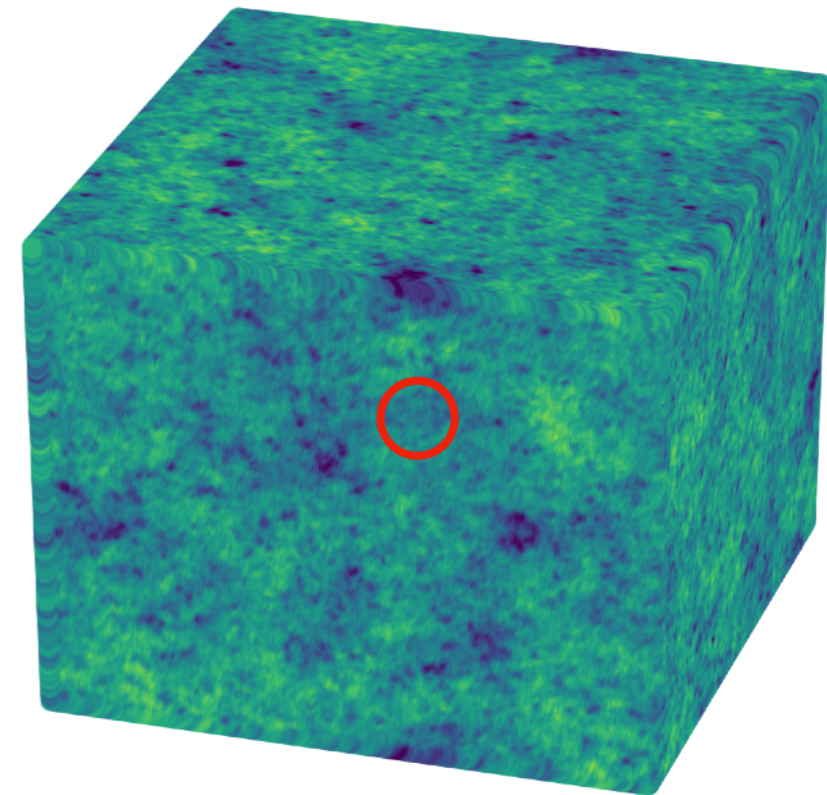


$(aH)^{-1}$



evolution  
→  
 $a_f/a_i = 10^3$

“sub-horizon” box



“super-horizon” box  
(frozen)



# Lattice simulations of inflation

For single-field simulations, see:

arXiv > astro-ph > arXiv:2403.12811

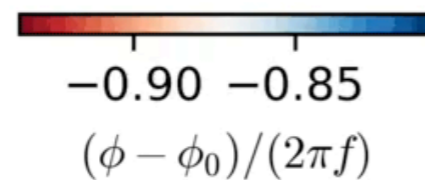
Astrophysics > Cosmology and Nongalactic Astrophysics

[Submitted on 19 Mar 2024]

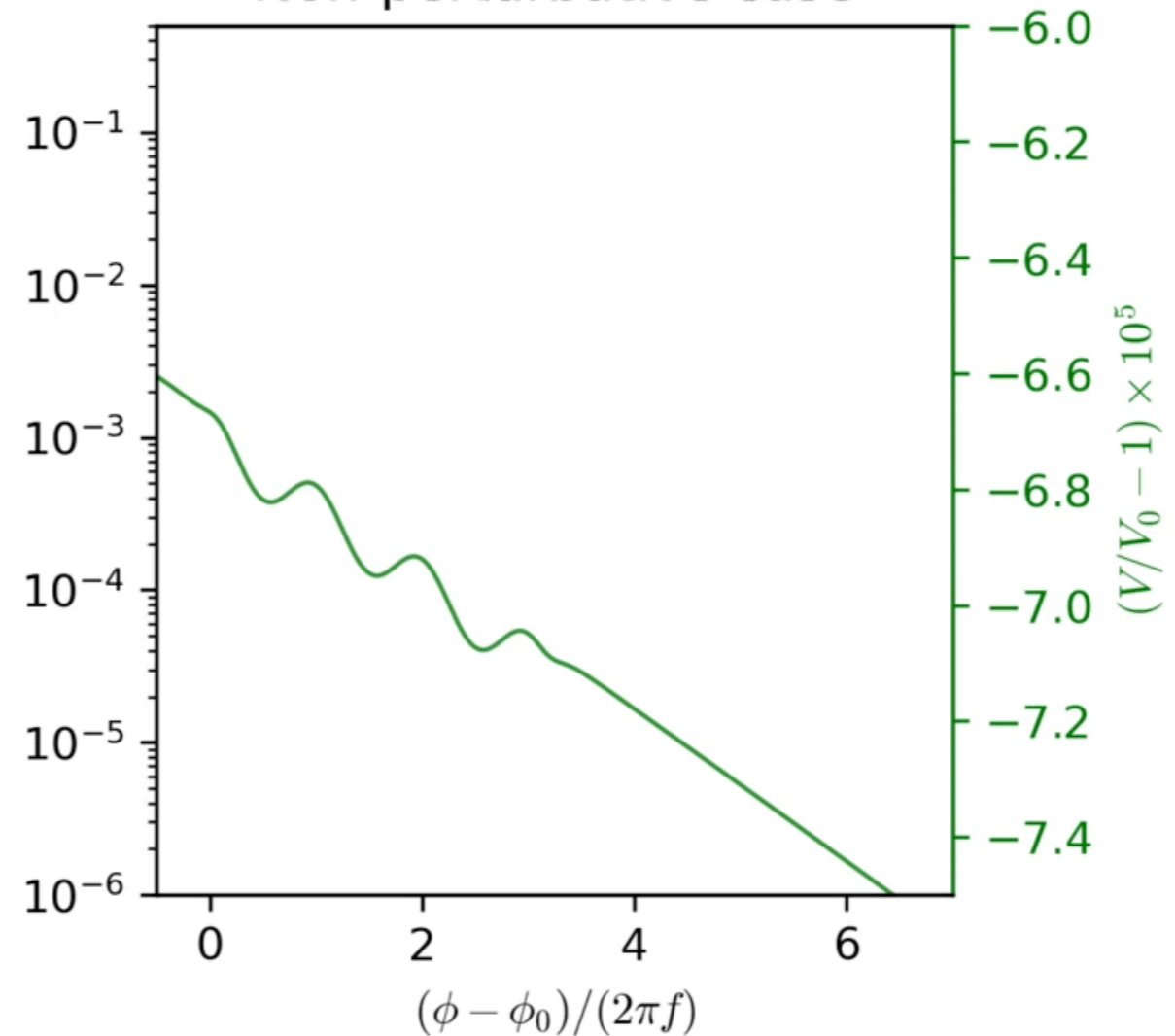
## The Inflationary Butterfly Effect: Non-Perturbative Dynamics From Small-Scale Features

Angelo Caravano, Keisuke Inomata, Sébastien Renaux-Petel

$N = -0.5$



Non-perturbative case



# Lattice simulation: axion-U(1)

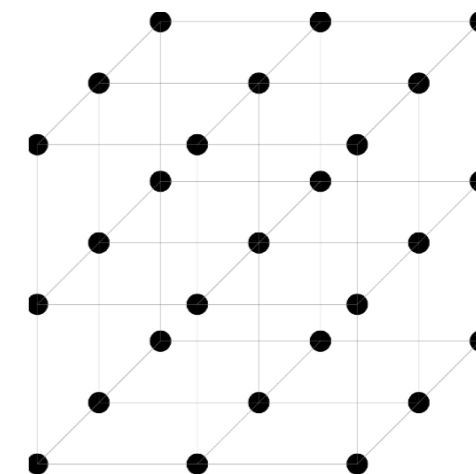
Using the “PDE” approach for the Gauge field.

In the Lorenz Gauge  $\partial^\mu A_\mu = 0$ :

$$\phi'' + 2H\phi' - \partial_j \partial_j \phi + a^2 \frac{\partial V}{\partial \phi} = - a^2 \frac{\alpha}{4f} F_{\mu\nu} \tilde{F}^{\mu\nu},$$

$$A_0'' - \partial_j \partial_j A_0 = \frac{\alpha}{f} \epsilon_{ijk} \partial_k \phi \partial_i A_j,$$

$$A_i'' - \partial_j \partial_j A_i = \frac{\alpha}{f} \epsilon_{ijk} \phi' \partial_j A_k - \frac{\alpha}{f} \epsilon_{ijk} \partial_j \phi (A'_k - \partial_k A_0)$$



+ Friedmann equation for scale factor  $\frac{d^2 a}{d\tau^2} = \frac{1}{6} (\langle \rho \rangle - 3\langle p \rangle) a^3$

Note that  $\partial^\mu A_\mu = 0$  is not automatically satisfied, needs to be checked!

This approach was used in preheating sims, e.g. P. Adshead et al. [1909.12842]  
P. Adshead et al. [1909.12843]

# Lattice simulation: axion-U(1)

AC+ 2102.06378

AC+ 2110.10695

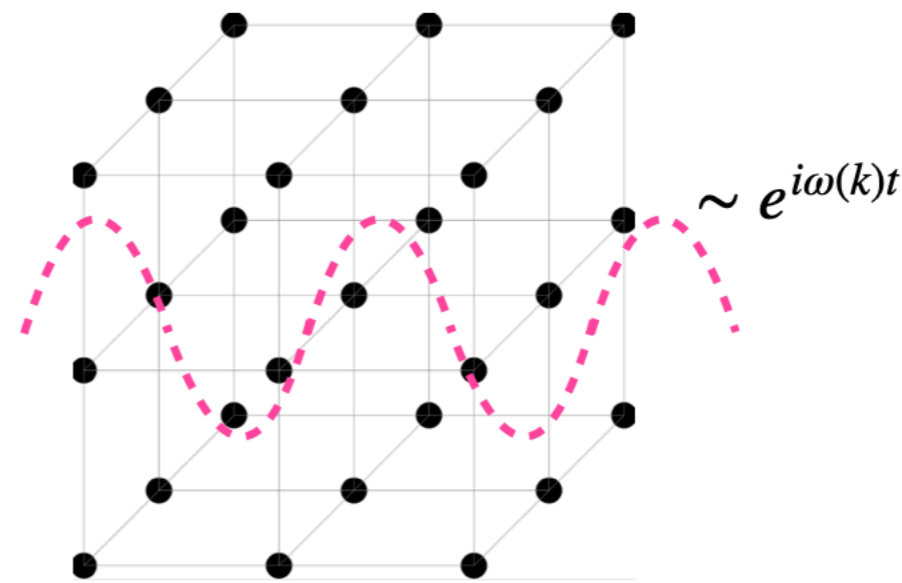
In 2102.06378 and 2110.10695, we studied the consequences of discretization

Continuous space:



$$\omega^2(k) = k^2$$

Lattice:



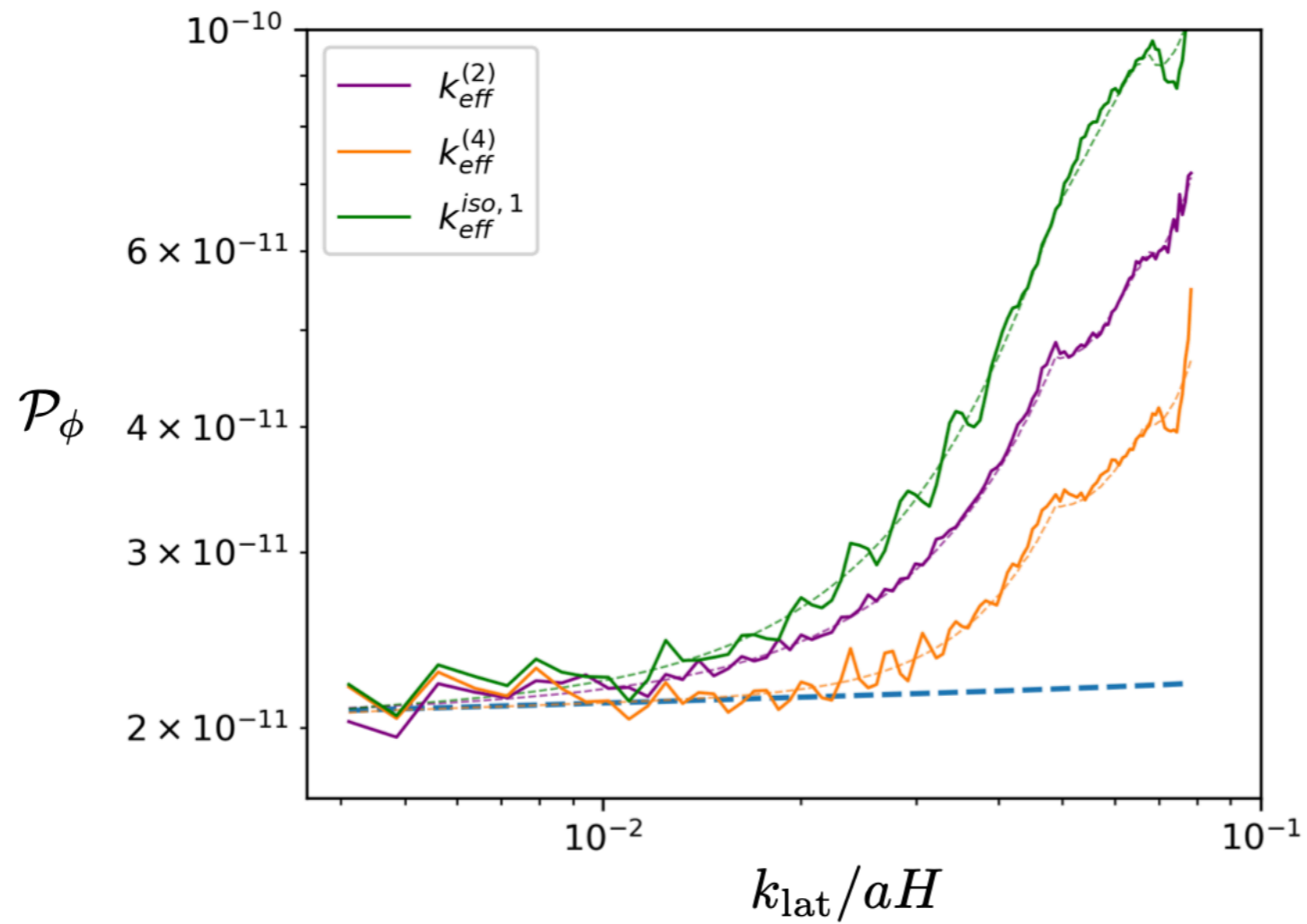
$$\omega^2(k) \neq k^2 \quad \left[ = \frac{\sin^2(k \Delta x/2)}{(\Delta x/2)^2} \right]$$

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AC+ 2102.06378

AC+ 2110.10695

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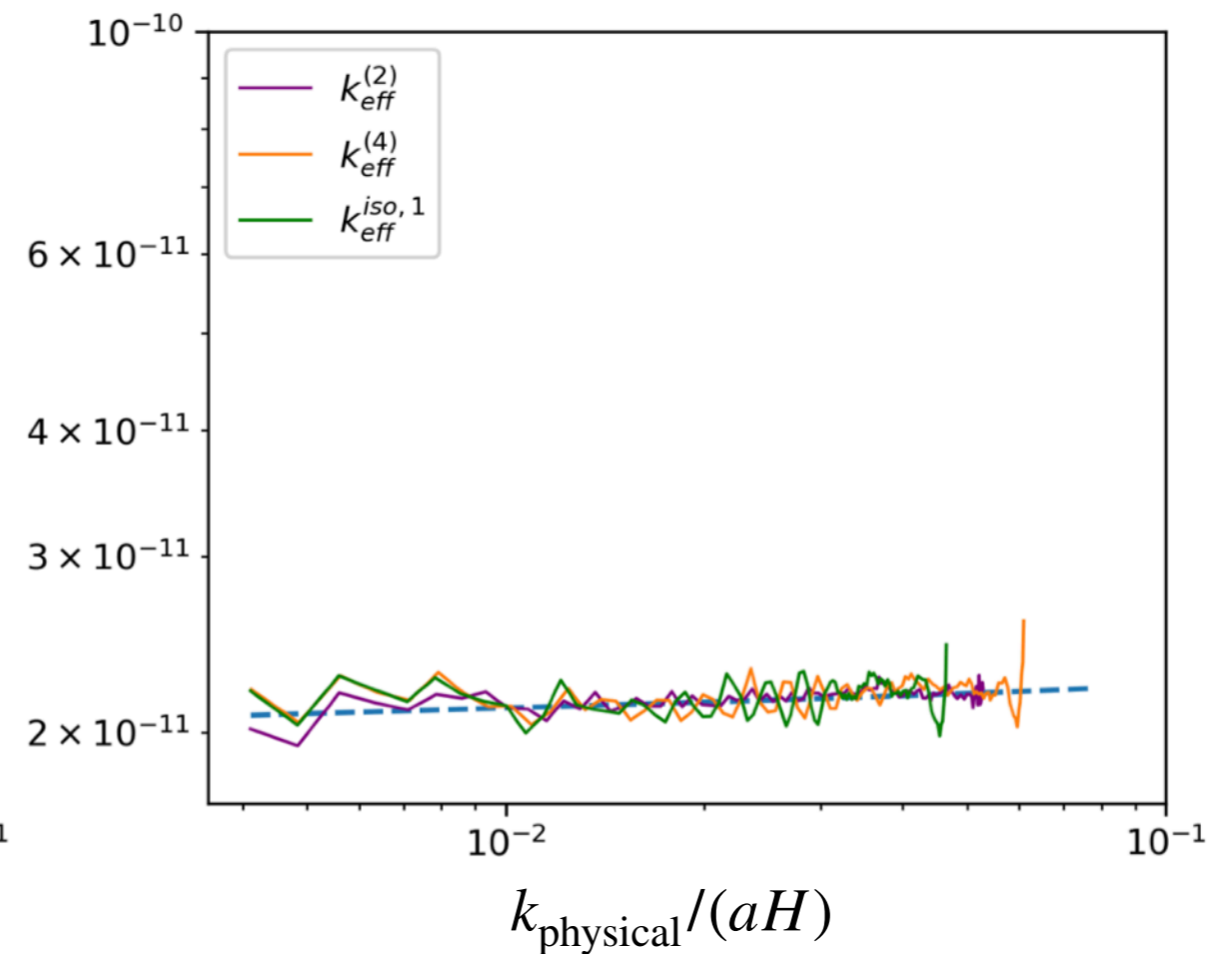
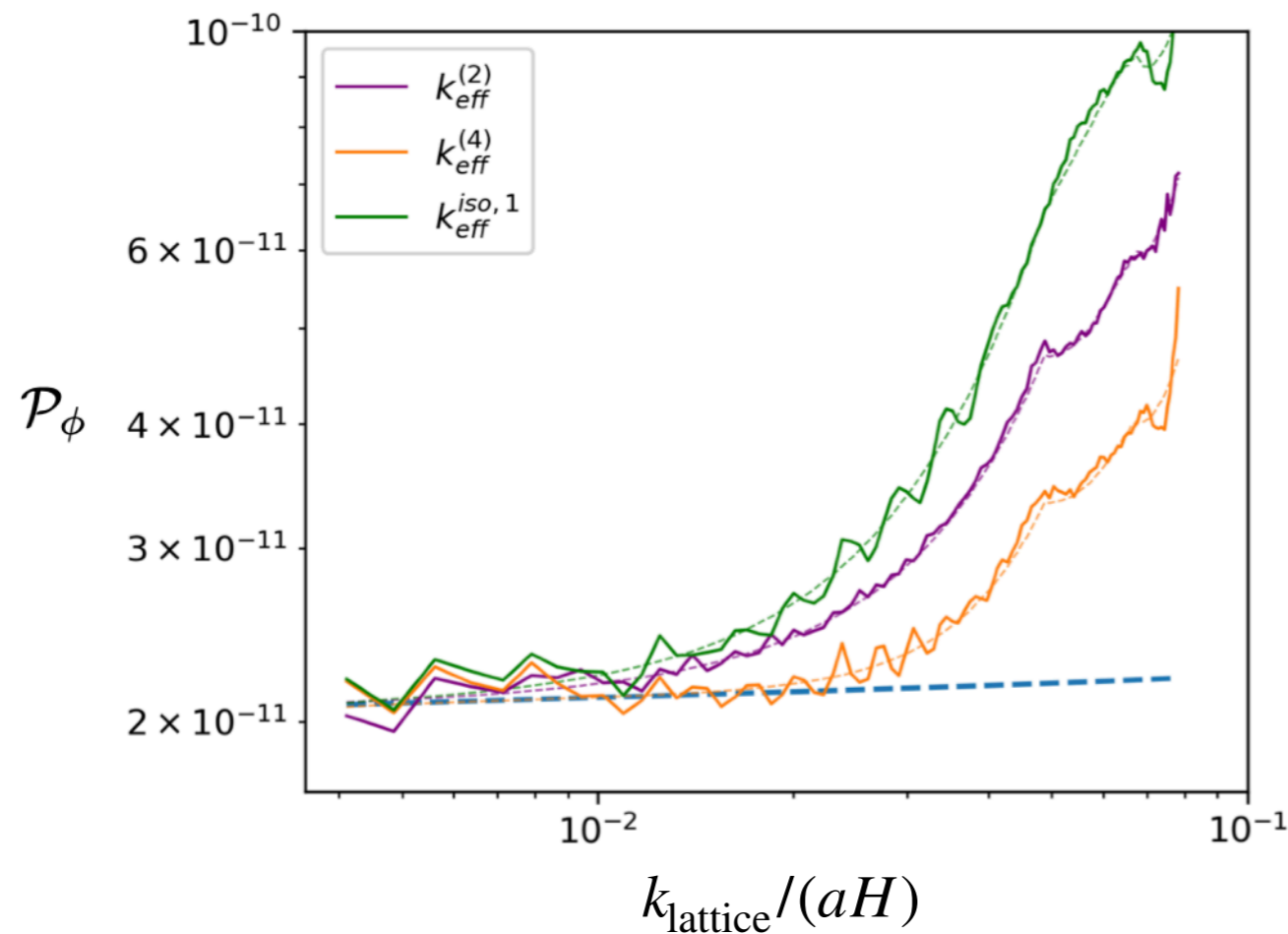
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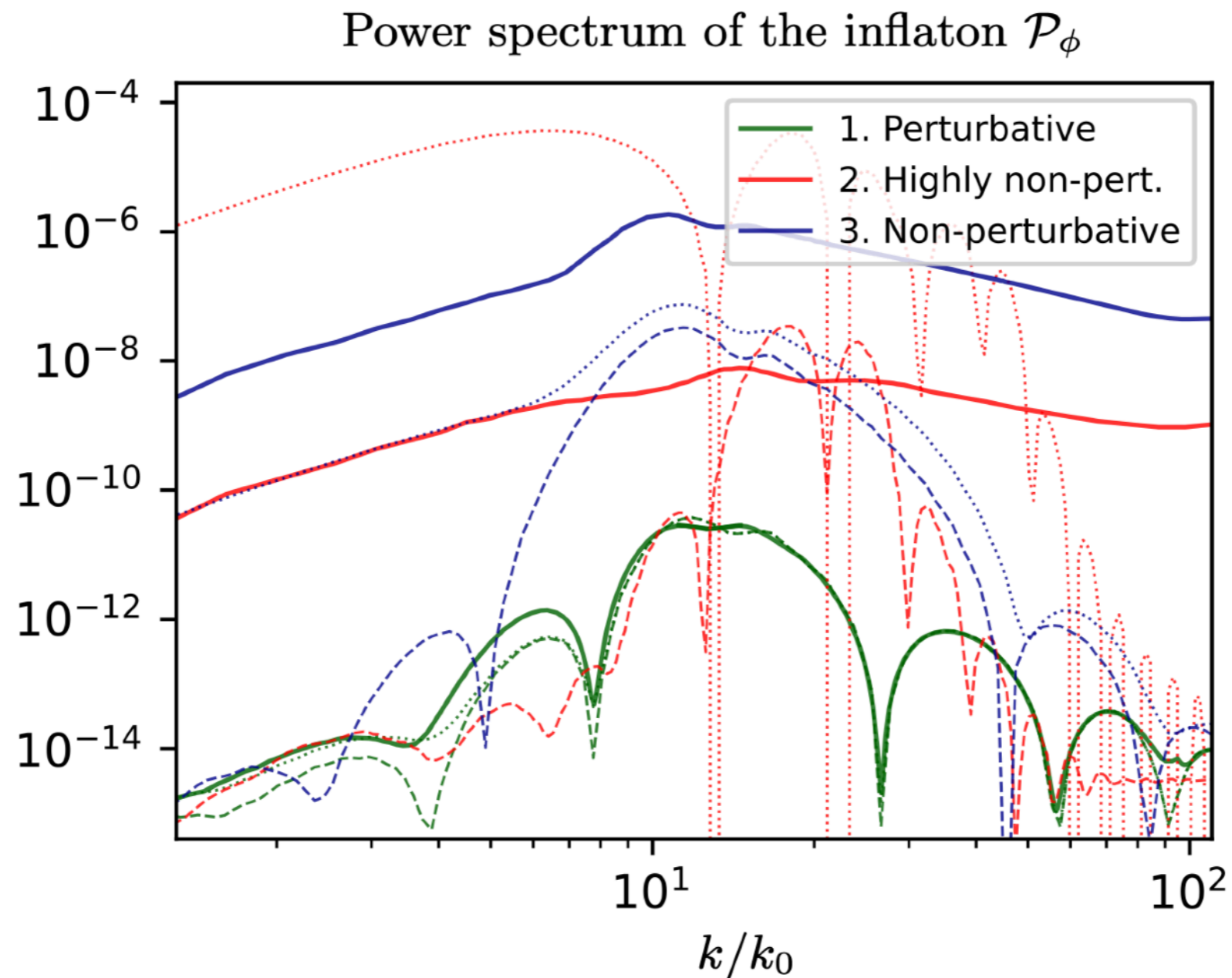
In 2102.06378 and 2110.10695, we studied the consequences of discretization

Trick: identify  $k_{\text{physical}} = \omega(k_{\text{lattice}})$



# Lattice simulation: loop effects

Off-topic: this is what allowed precise comparison with perturbation theory at 1-loop



For tachyonic enhancement of gauge fields, discretization is more important

Continuous space:

$$A''_{\pm} + \left( k^2 \pm k \bar{\phi}' \frac{\alpha}{f} \right) A_{\pm} = 0.$$

Lattice:

$$A''_{\pm} + \left( k_{\text{lapl}}^2 \pm \frac{\alpha}{f} \phi' \vec{k}_{\text{sd}} \cdot \frac{\vec{\kappa}}{|\vec{\kappa}|} \right) A_{\pm} = 0.$$

$$k_{\text{sd}} \neq k_{\text{lapl}}$$

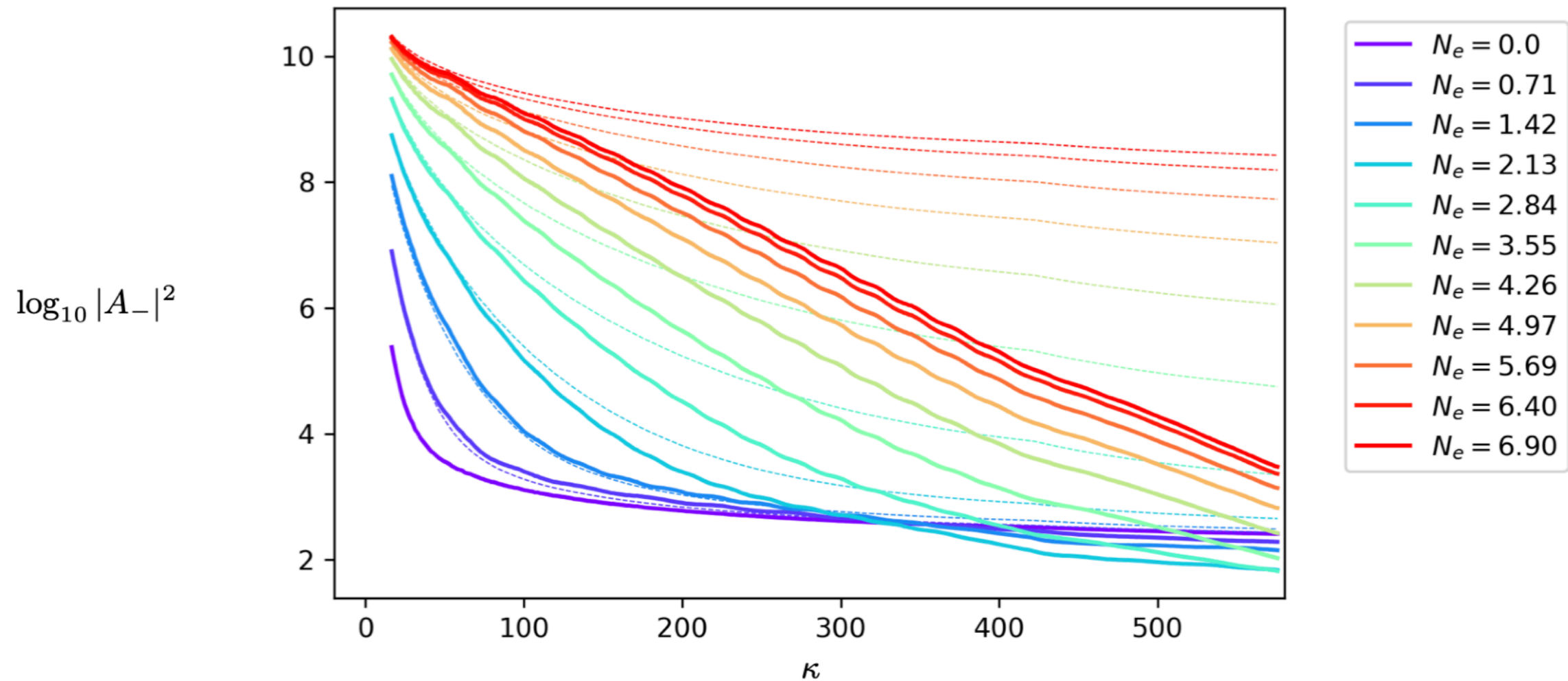
# Lattice simulation: axion-U(1)

AC+ 2102.06378

AC+ 2110.10695

For tachyonic enhancement of gauge fields, discretization is more important

For a second-order scheme:





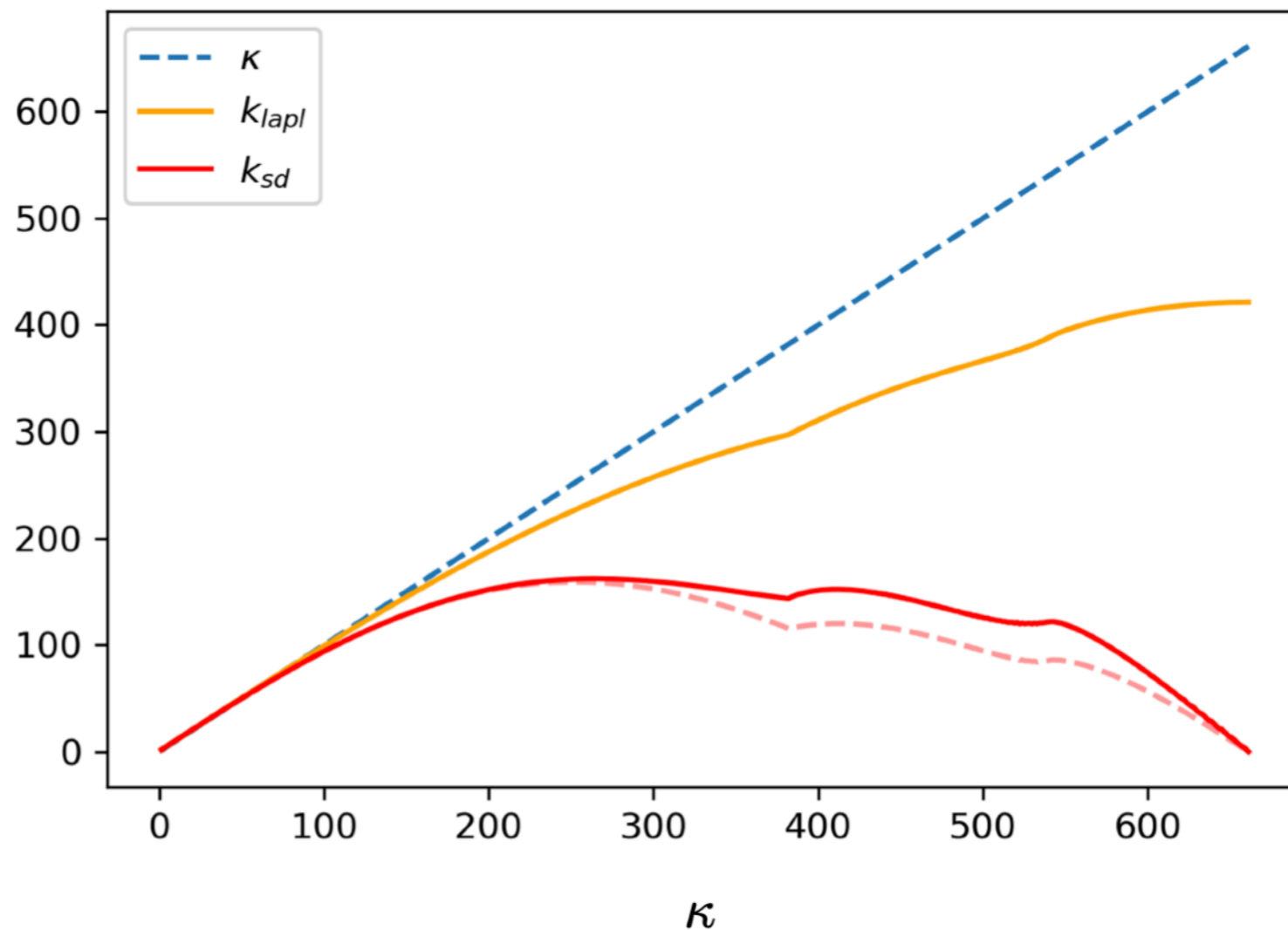
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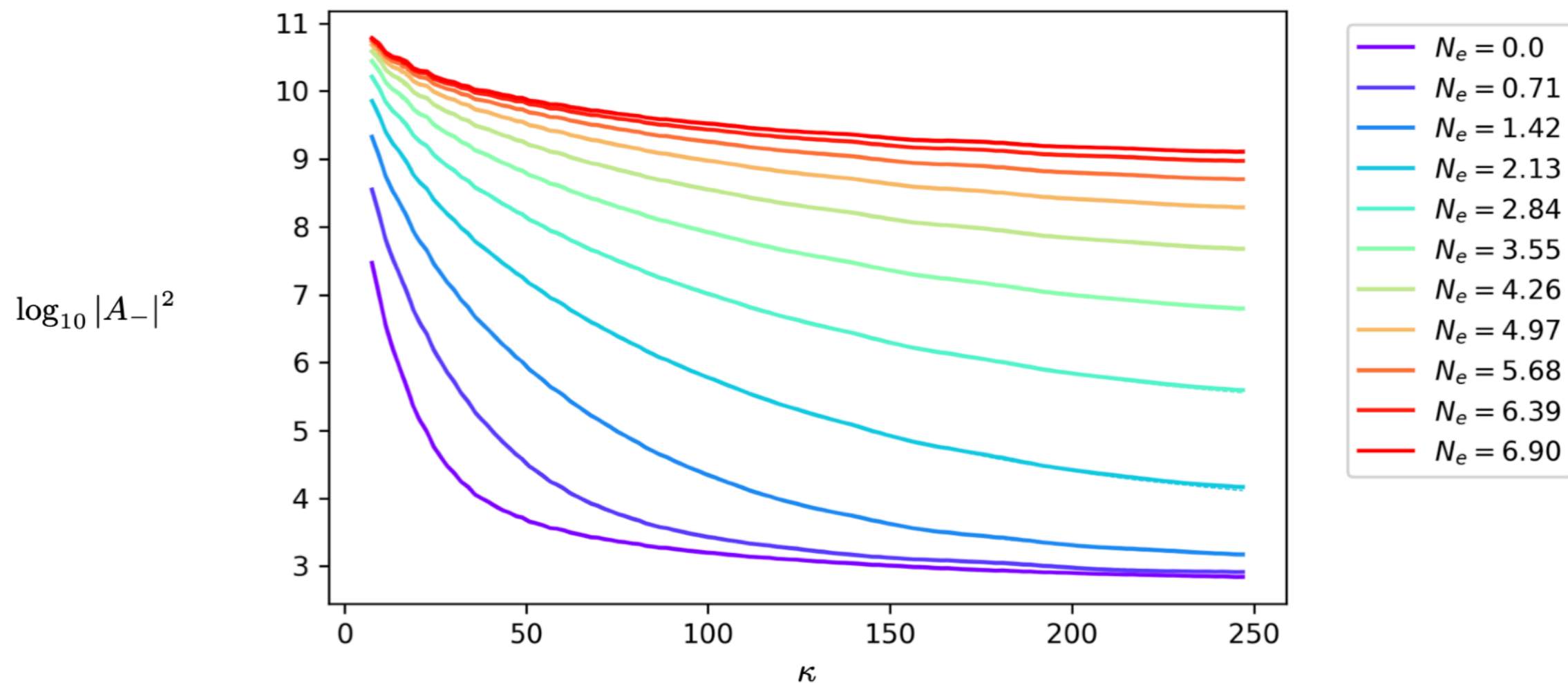
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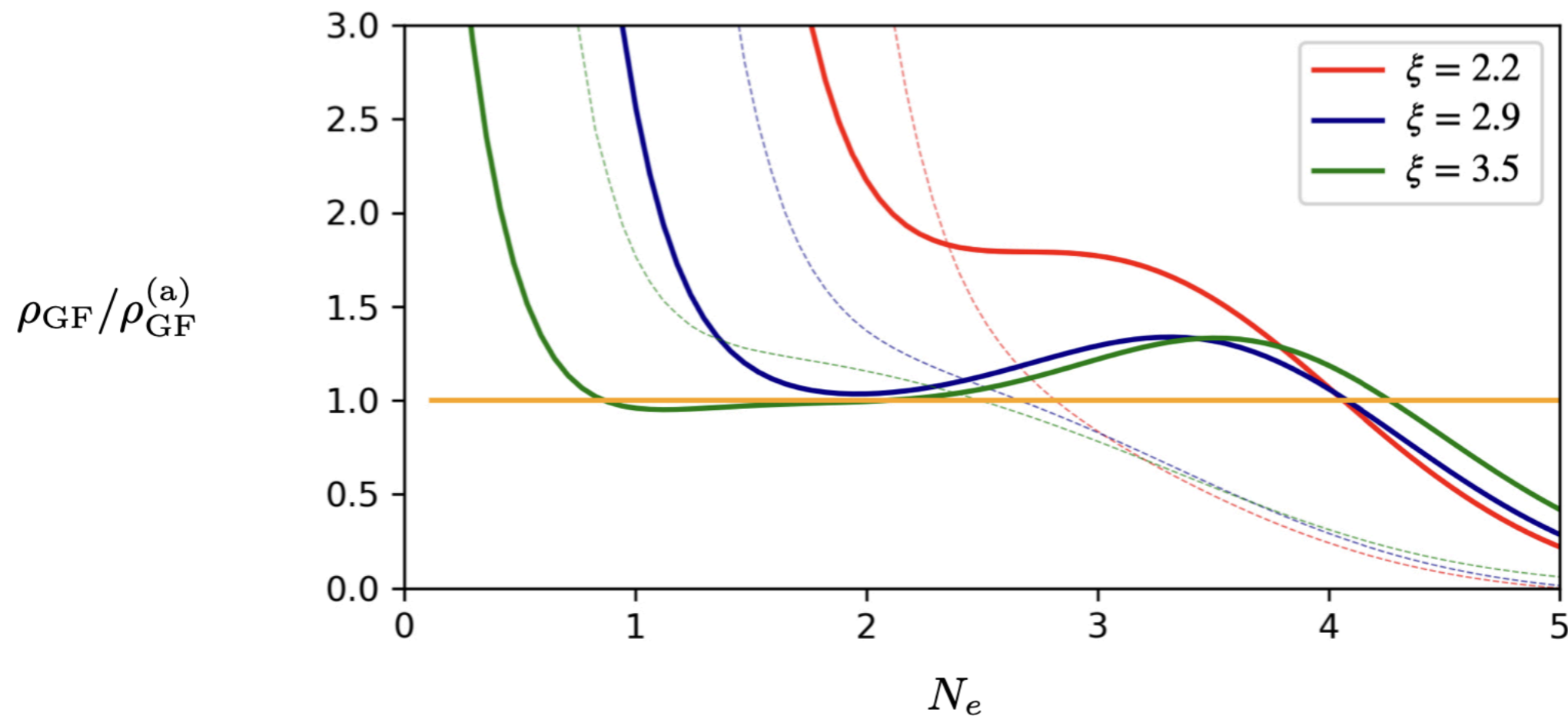
AC+ 2110.10695

For tachyonic enhancement of gauge fields, discretization is more important

Solution: find a scheme with  $k_{\text{sd}} \simeq k_{\text{lapl}}$ , for example 4th order



We also studied the vacuum contribution to gauge field energy-density



Analytical prediction with cut-off regularisation:

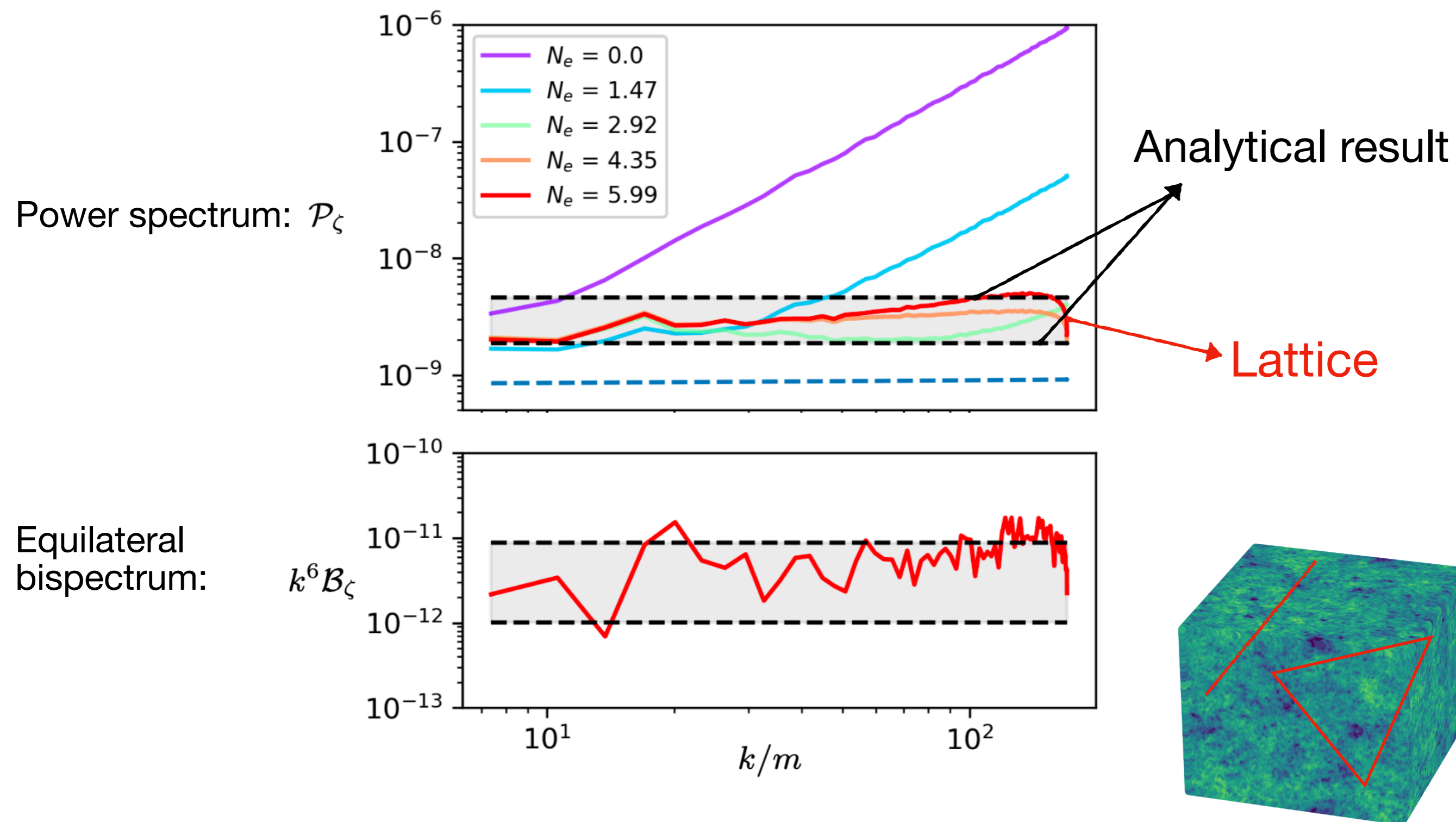
$$\rho_{GF}^{(a)} = \frac{1}{4\pi^2 a^2} \int_{(8\xi)^{-1}}^{2\xi} \left[ A_-'^2 + k^2 A_-^2 \right] = \frac{6!}{2^{19} \pi^2} \frac{H^4}{\xi^3} e^{e\pi\xi}$$

# Results of the simulation:

1. Large scales: small  $\xi = \frac{\alpha\dot{\phi}}{2fH}$  perturbative regime  
(no backreaction)
2. Small scales: large  $\xi = \frac{\alpha\dot{\phi}}{2fH}$  non-perturbative regime  
(with backreaction)

# Perturbative regime (no backreaction)

Simulation confirms analytical results (very nontrivial)



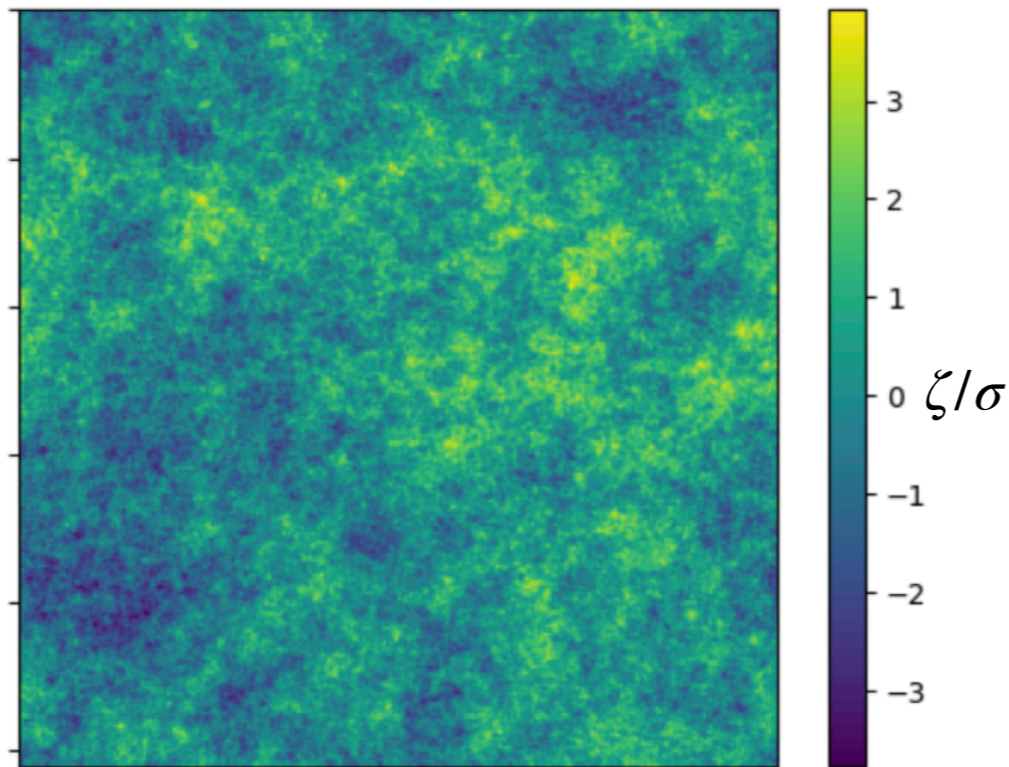
# Perturbative regime (no backreaction)

Thanks to the lattice, we know  $\zeta(\mathbf{x}, t)$ !

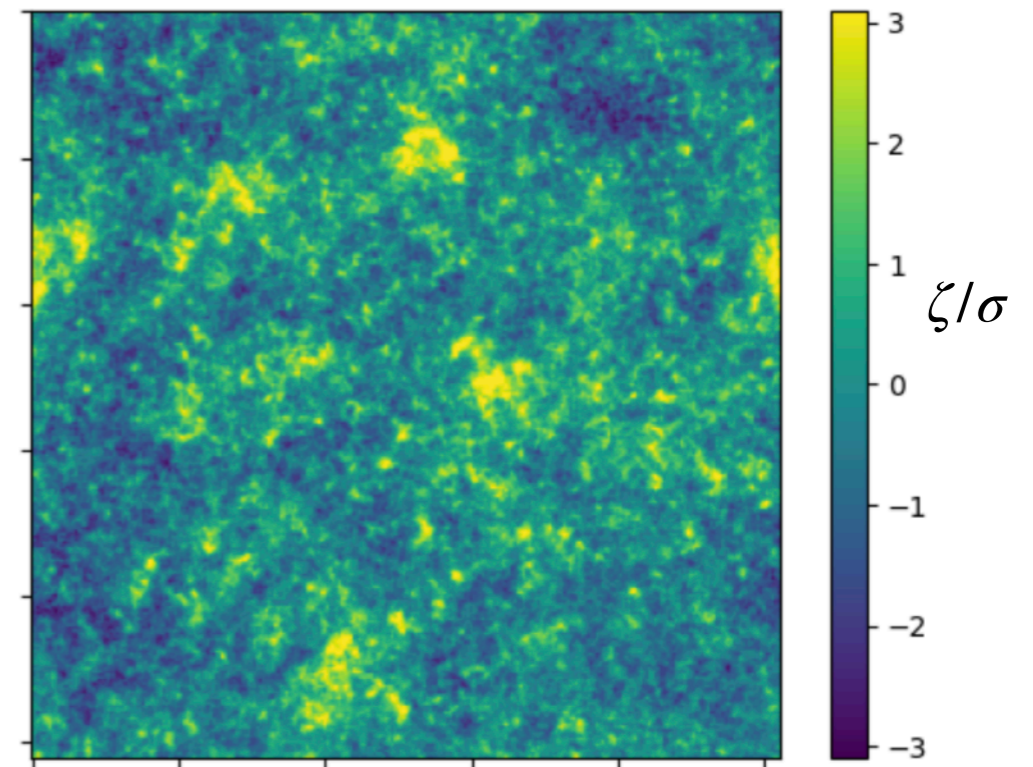
The first computation of nonlinear  $\zeta(\mathbf{x}, t)$ !

Beyond simplifying assumptions  
 $\zeta \simeq \zeta_G + f_{\text{NL}} K[\zeta_G, \zeta_G]$

Gaussian ( $\zeta_G$ )



Non-Gaussian ( $\zeta_{NG}$ )



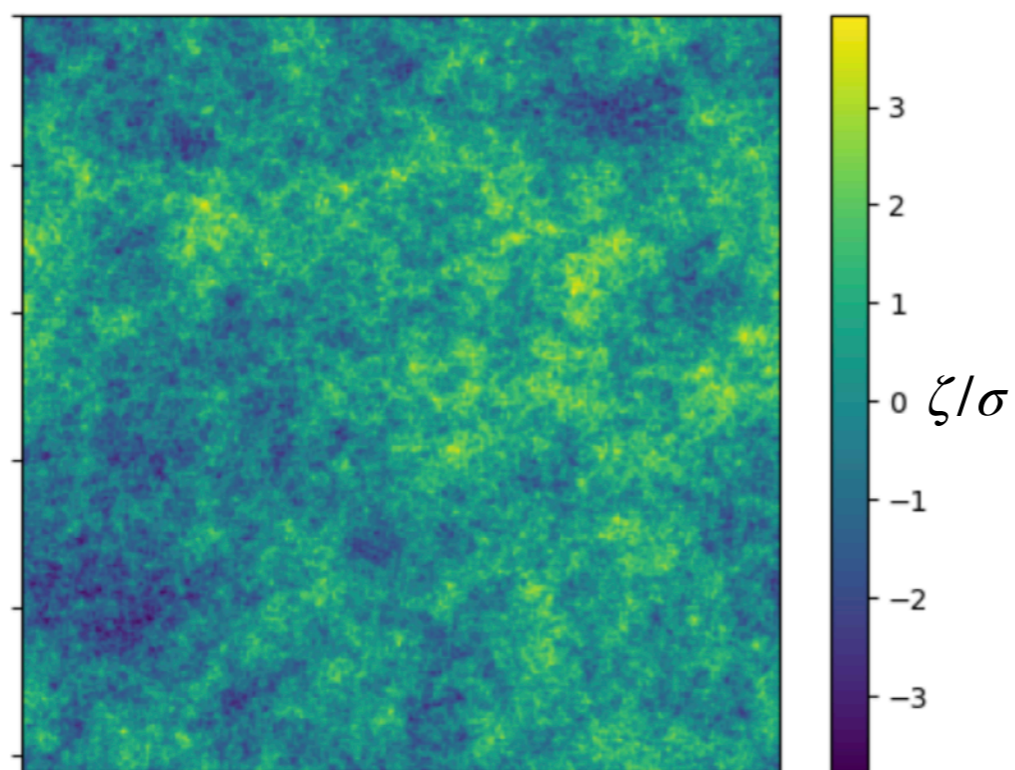
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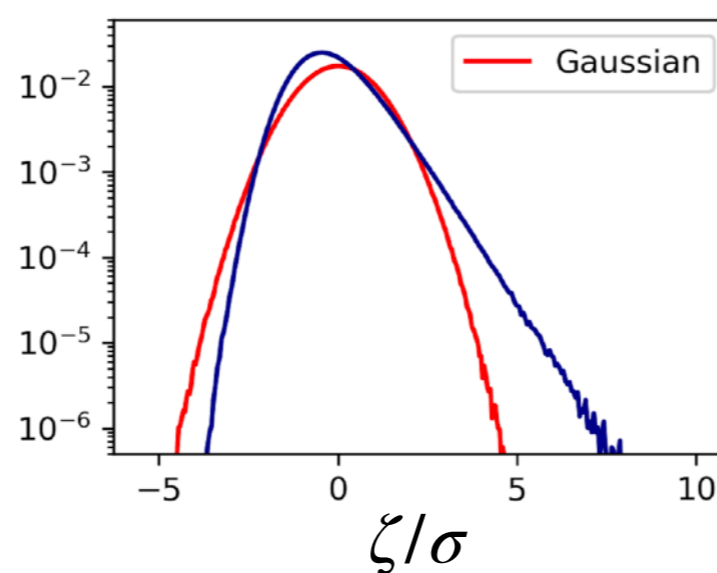
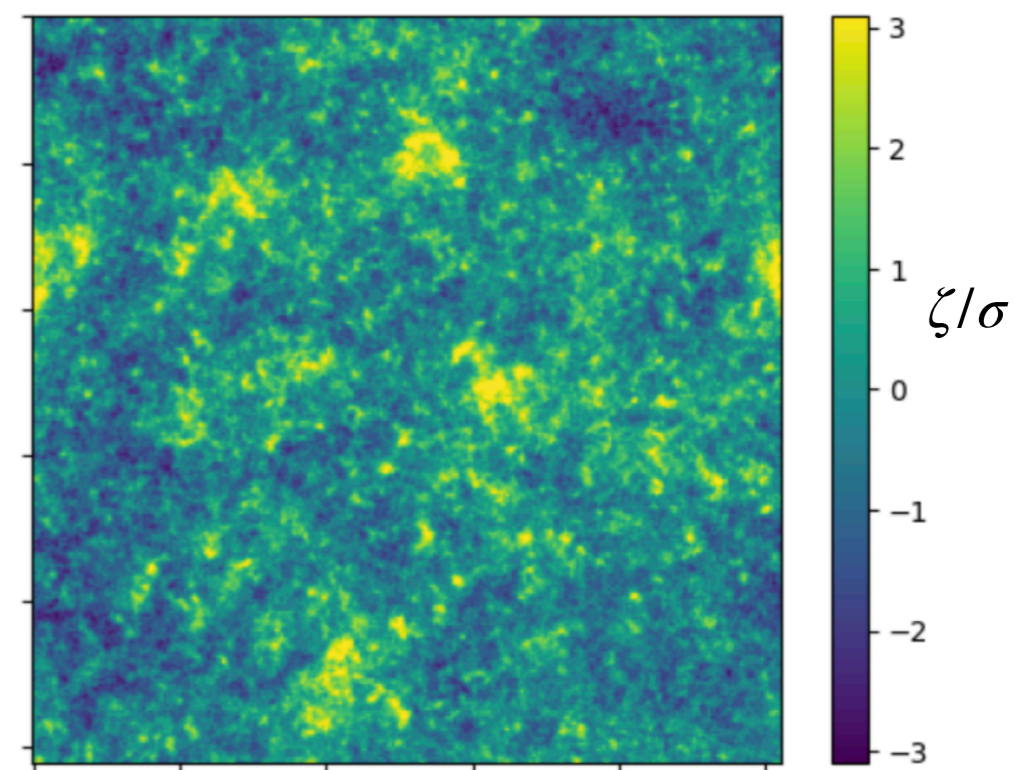
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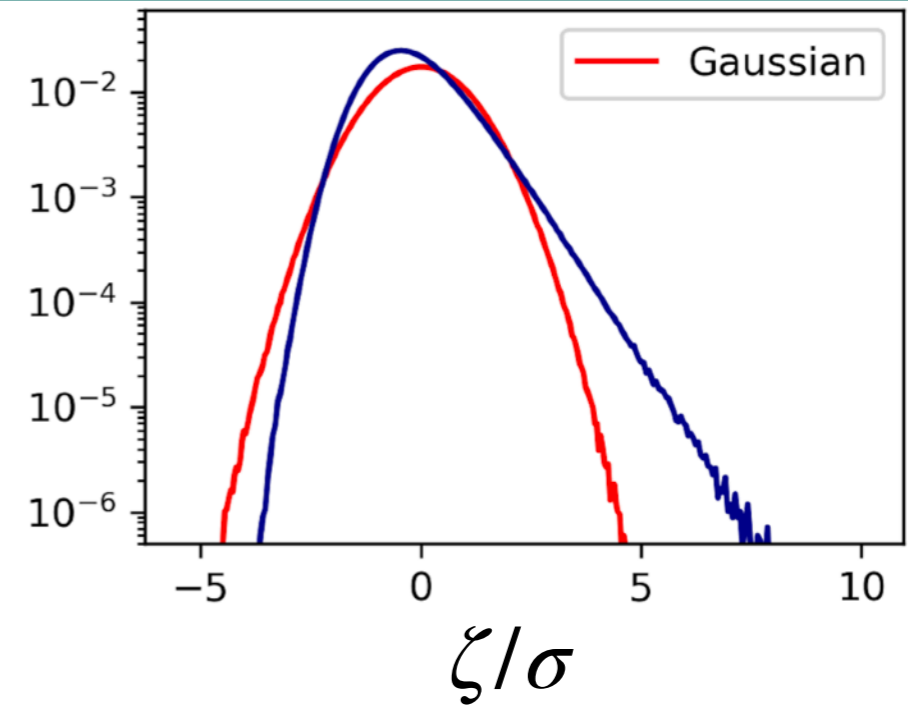


# Perturbative regime (no backreaction)

Define cumulants:

$$\kappa_n = \frac{\langle \zeta^n \rangle_c}{\sigma^n}$$

$\kappa_3$  “skewness”,  $\kappa_4$  “kurtosis”, etc.



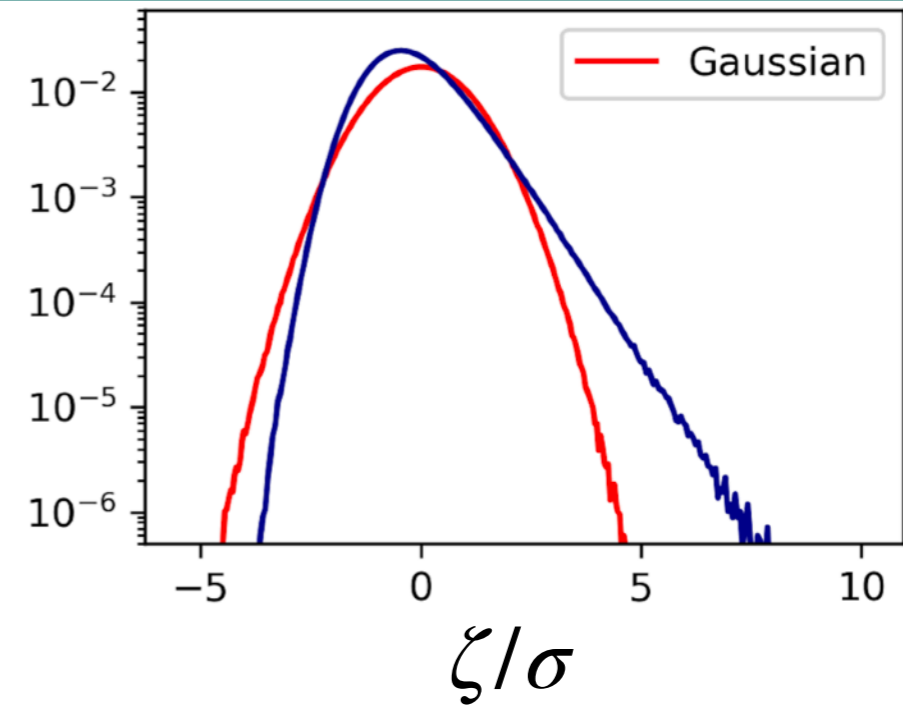


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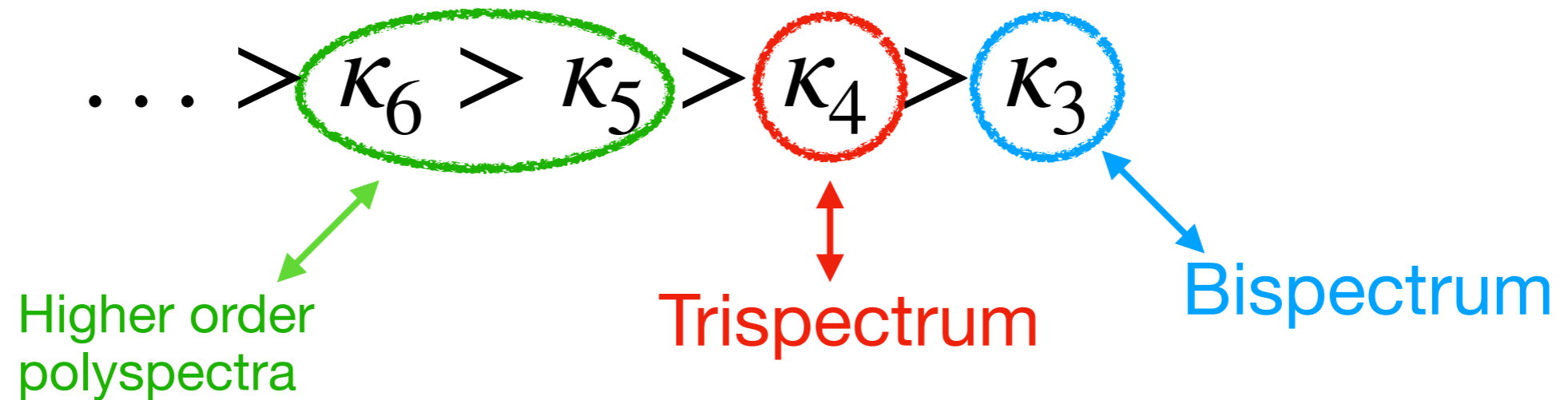
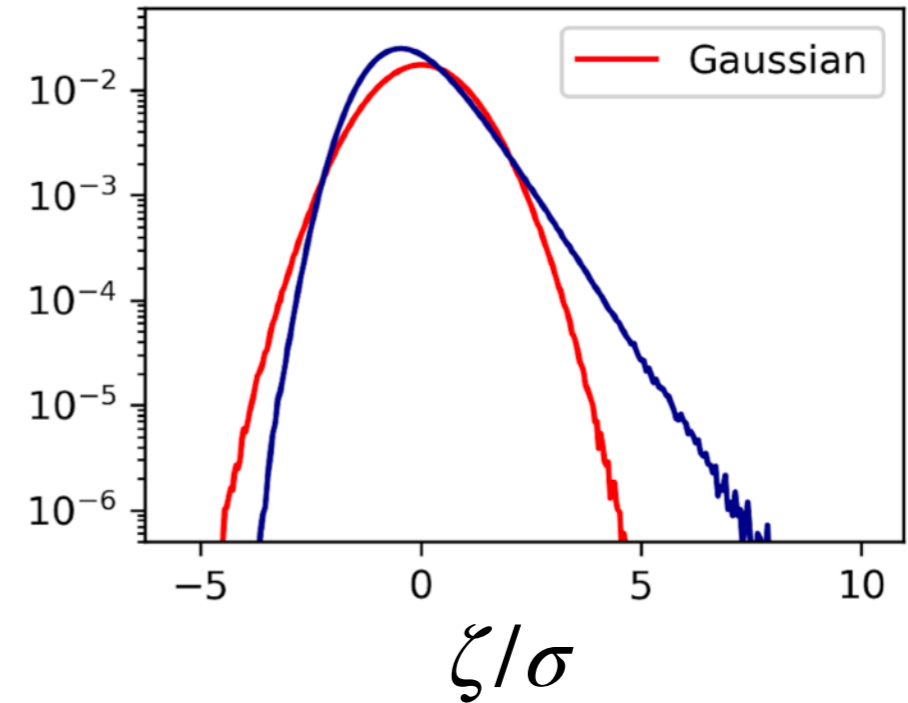
$$\dots > \kappa_6 > \kappa_5 > \kappa_4 > \kappa_3$$

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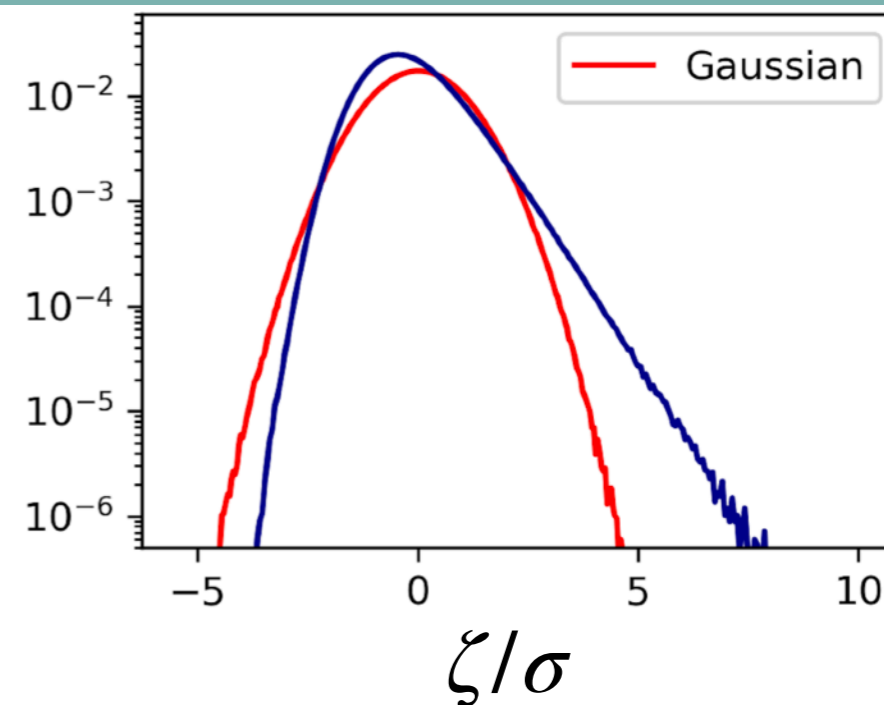


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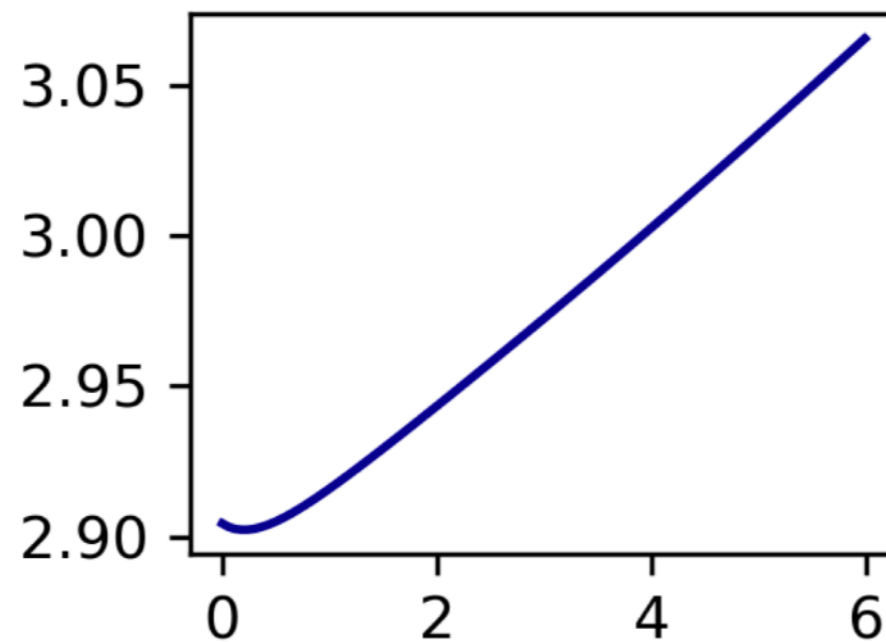
$$\zeta \neq \zeta_G + f_{\text{NL}} K[\zeta_G, \zeta_G]$$

Study transition linear  $\longrightarrow$  nonlinear

$$\xi = \frac{\alpha \dot{\phi}}{2fH}$$

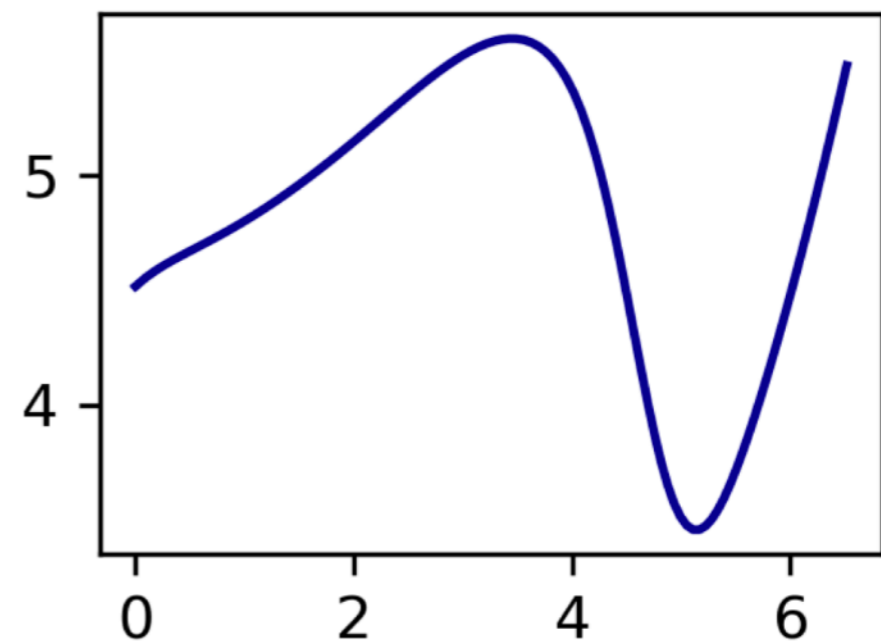
Linear

(no backreaction)



Linear-nonlinear  
transition

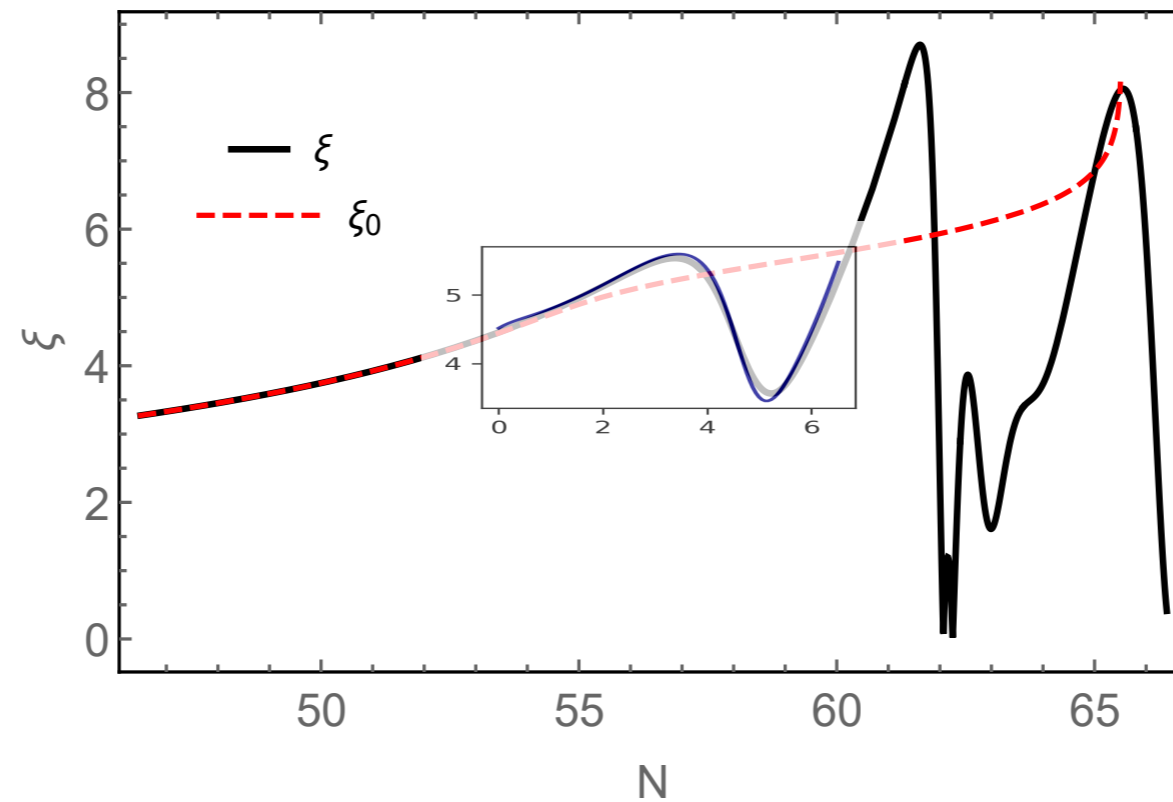
(strong backreaction)



$N_e$

$N_e$

e-folds number (time)



The first lattice confirmation of what is found in:

[V. Domcke, V. Guidetti, Y. Welling, A. Westphal  
arXiv:2002.02952]

[E.V. Gorbar, K. Schmitz, O. O. Sobol, S. I. Vilchinskii  
arXiv:2109.01651]

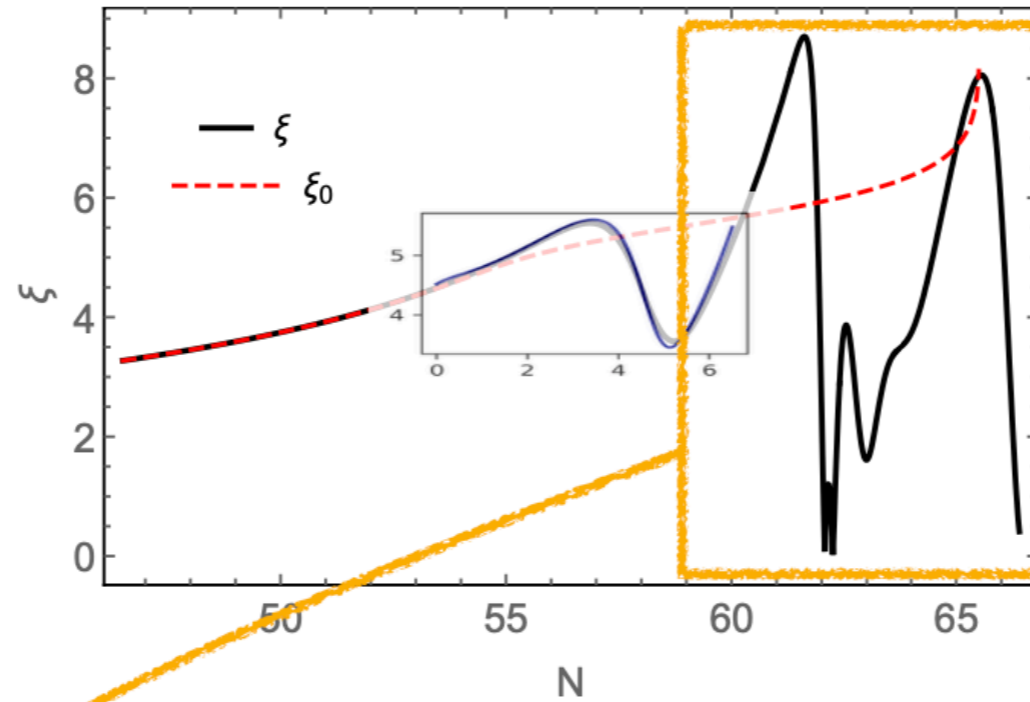


Figure from 2002.02952  
[courtesy of V. Domcke]

What happens here is still under investigation. See e.g.:

**D. Figueroa, J. Lizarraga,  
A. Urio, J. Urrestilla**  
2303.17436

Lattice simulation

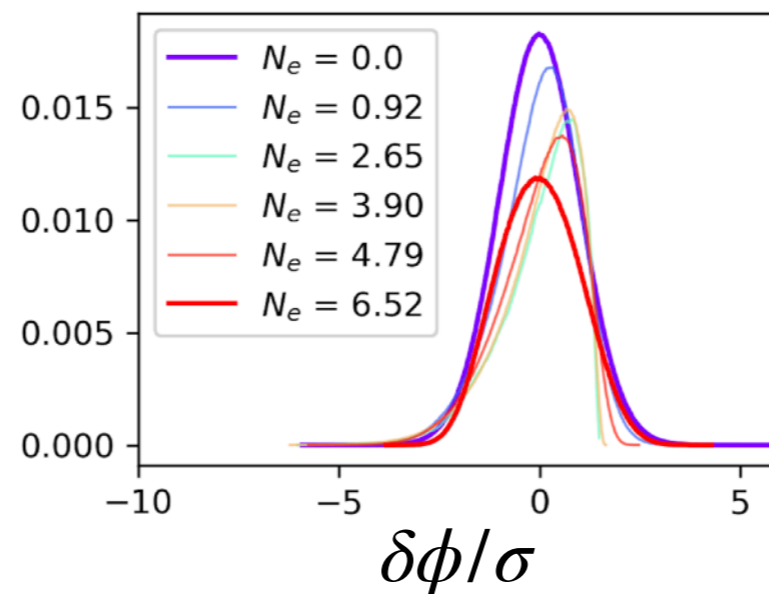
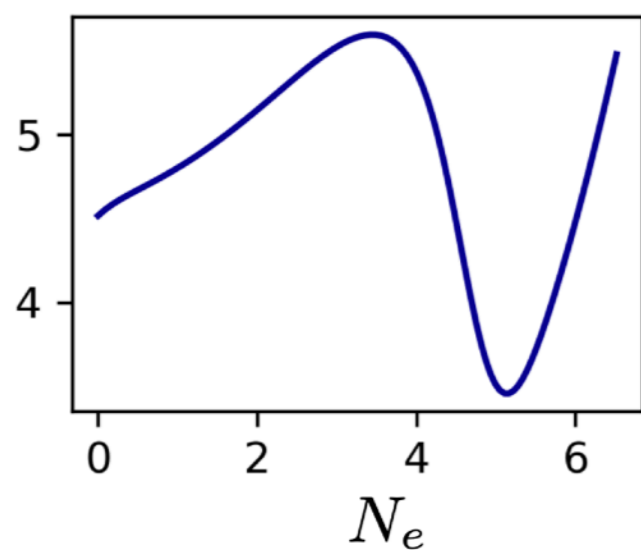


**M. Peloso, L. Sorbo**  
2209.08131

Analytical

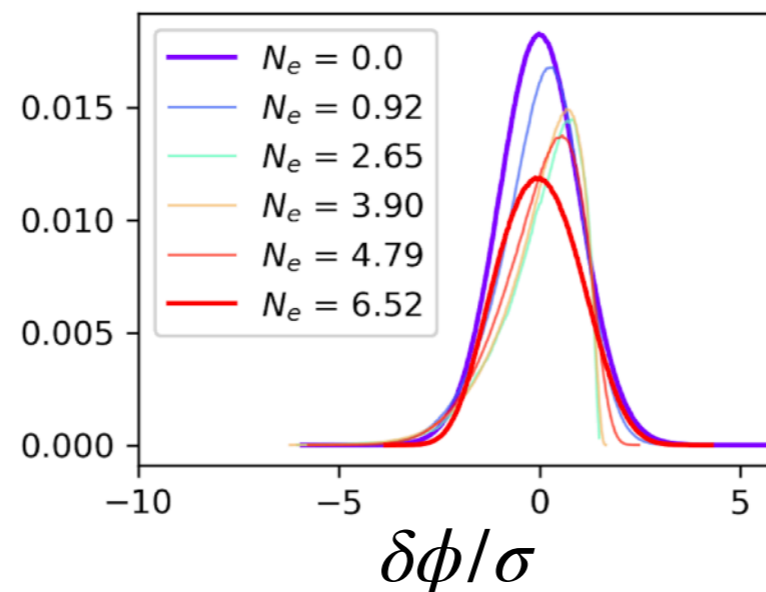
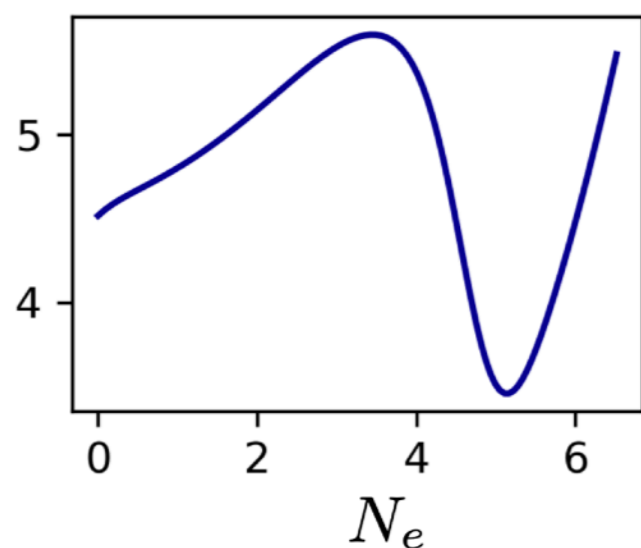


# Backreaction: scalar statistics



Non-Gaussianity is **suppressed** in the nonlinear regime!

# Backreaction: scalar statistics












Non-Gaussianity is **suppressed** in the nonlinear regime!

This invalidates PBH bounds, allowing for a sizeable GW signal at PTA

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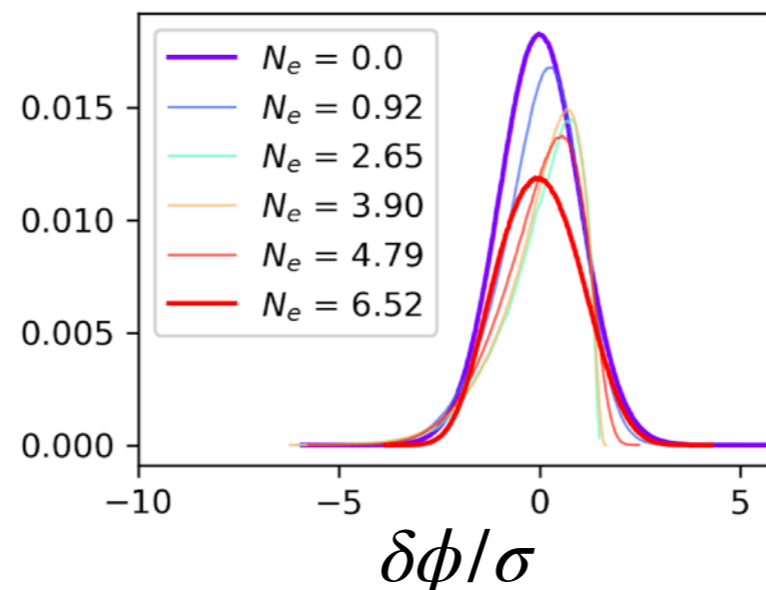
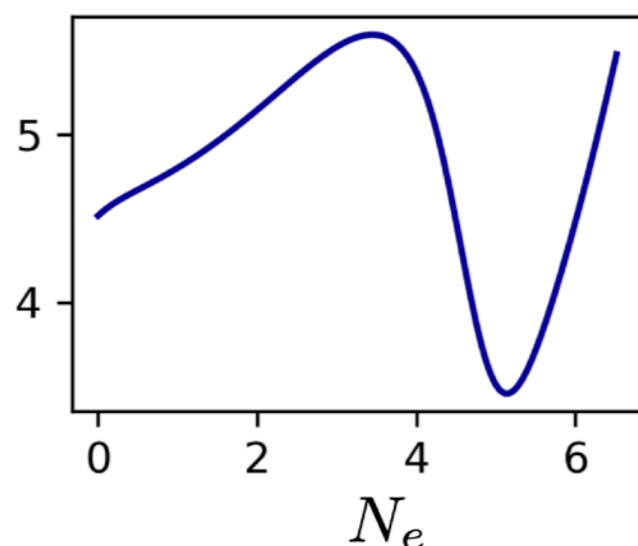
[Focus on NANOGrav's 15 yr Data Set and the Gravitational Wave Background](#)

Citation Gabriella Agazie et al 2023 *ApJL* 951 L8

DOI 10.3847/2041-8213/acdac6



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## NANOGrav signal from axion inflation

Xuce Niu,<sup>a</sup> and Moinul Hossain Rahat<sup>b</sup>

<sup>a</sup>Institute for Fundamental Theory, Department of Physics, University of Florida, Gainesville, FL 32611, USA

<sup>b</sup>School of Physics & Astronomy, University of Southampton

E-mail: [xuce.niu@ufl.edu](mailto:xuce.niu@ufl.edu), [M.H.Rahat@soton.ac.uk](mailto:M.H.Rahat@soton.ac.uk)

## Axion-Gauge Dynamics During Inflation as the Origin of Pulsar Timing Array Signals and Primordial Black Holes

Caner Ünal,<sup>1,2,\*</sup> Alexandros Papageorgiou,<sup>3,†</sup> and Ippei Obata<sup>4,‡</sup>

<sup>1</sup>Department of Physics, Ben-Gurion University of the Negev, Be'er Sheva 84105, Israel

<sup>2</sup>Feza Gürsey Institute, Bogazici University, Cengelkoy, Istanbul, Turkey

<sup>3</sup>Particle Theory and Cosmology Group, Center for Theoretical Physics of the Universe, Institute for Basic Science (IBS), 34126 Daejeon, Korea

<sup>4</sup>Kavli Institute for the Physics and Mathematics of the Universe (Kavli IPMU, WPI), UTIAS, The University of Tokyo, 5-1-5 Kashiwanoha, Kashiwa, Chiba, 277-8583, Japan

We demonstrate that the recently announced signal for a stochastic gravitational wave background (SGWB) from pulsar timing array (PTA) observations, if attributed to new physics, is compatible with primordial GW production due to axion-gauge dynamics during inflation. More specifically we find that axion- $U(1)$  models may lead to sufficient particle production to explain the signal while simultaneously source some fraction of sub-solar mass primordial black holes (PBHs) as a signature. Moreover there is a parity violation in GW sector, hence the model suggests chiral GW search as a concrete target for future. We further analyze the axion- $SU(2)$  coupling signatures and find that in the low/mild backreaction regime, it is incapable of producing PTA evidence and the tensor-to-scalar ratio is low at the peak, hence it overproduces scalar perturbations and PBHs.

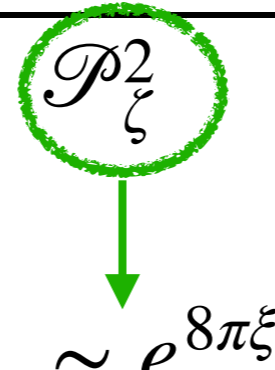
# Backreaction: scalar statistics

How does this compare with known results?

- Power spectrum:  $\mathcal{P}_\zeta(k) \simeq \mathcal{P}_{\text{vac}} + \mathcal{P}_{\text{vac}}^2 f_2(\xi) e^{4\pi\xi}$

$$\mathcal{P}_{\text{vac}} \simeq \frac{H^4}{(2\pi\dot{\phi})^2}$$

$$\xi = \frac{\alpha\dot{\phi}}{2fH}$$

- $f_{\text{NL}}^{(\text{equil.})}(\xi) \simeq \frac{f_3(\xi) \mathcal{P}_{\text{vac}}^3 e^{6\pi\xi}}{\mathcal{P}_\zeta^2}$   $\xrightarrow{\xi \gg 1}$  0
- 

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$$\langle \zeta(\mathbf{k}_1)\zeta(\mathbf{k}_2)\zeta(\mathbf{k}_3) \rangle \sim f_3(\xi) \mathcal{P}_{\text{vac}}^3 e^{6\pi\xi}$$

$$\langle \zeta(\mathbf{k}_1)\zeta(\mathbf{k}_2)\zeta(\mathbf{k}_3) \rangle \stackrel{\xi \gg 1}{\gg} 1$$

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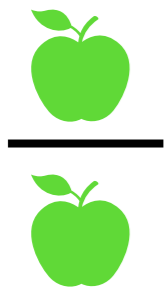


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More carefully (still schematically):

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$$\frac{\langle \zeta(\mathbf{k}_1)\zeta(\mathbf{k}_2)\zeta(\mathbf{k}_3) \rangle}{(\langle \zeta(\mathbf{k}_1)\zeta(\mathbf{k}_2) \rangle)^{3/2}} \xrightarrow{\xi \gg 1} \sim \frac{f_3(\xi)}{f_2^{3/2}(\xi)}$$

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$$\frac{\text{🍏}}{\text{🍏}} \quad \kappa_3 \sim \frac{\langle \zeta(\mathbf{k}_1)\zeta(\mathbf{k}_2)\zeta(\mathbf{k}_3) \rangle}{(\langle \zeta(\mathbf{k}_1)\zeta(\mathbf{k}_2) \rangle)^{3/2}} \xrightarrow{\xi \gg 1} \sim \frac{f_3(\xi)}{f_2^{3/2}(\xi)}$$

# Backreaction: scalar statistics

What is the physical interpretation? **Central limit theorem!**

Look at the source term:

$$\left( F_{\mu\nu} \tilde{F}^{\mu\nu} \right)(k) = \sum_{k'} F_{\mu\nu}(k') \tilde{F}^{\mu\nu}(k - k').$$

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Analogous to fermion production:

[P. Adshead, L. Pearce, M. Peloso,  
M. A. Roberts, L. Sorbo  
arXiv:1803.04501]

# Summary

- First lattice simulation of an axion-gauge system during inflation

## Results:

- Understanding non-Gaussianity beyond  $f_{NL}$

$$\dots > \kappa_6 > \kappa_5 > \kappa_4 > \kappa_3$$

- First step towards fully nonlinear understanding of backreaction in axion inflation

